

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
22 February 2001 (22.02.2001)

PCT

(10) International Publication Number
WO 01/13031 A2

(51) International Patent Classification⁷: F17C 1/00

(21) International Application Number: PCT/US00/22039

(22) International Filing Date: 11 August 2000 (11.08.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/148,746 13 August 1999 (13.08.1999) US

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(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

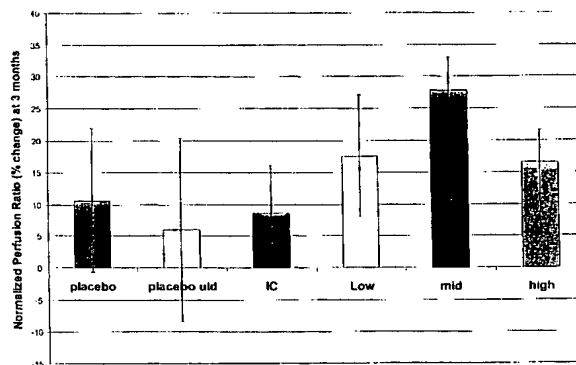
(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

— Without international search report and to be republished upon receipt of that report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: DOSE OF AN ANGIOGENIC FACTOR AND METHOD OF ADMINISTERING TO IMPROVE MYOCARDIAL BLOOD FLOW



(57) Abstract: The present invention has multiple aspects. In one aspect, the present invention is directed to a unit dose pharmaceutical composition comprising from about 5 ng/dose to less than 135,000 ng of an angiogenic agent, typically from 5 ng to 67,500 ng. Preferably, the angiogenic agent is FGF, more preferably it is basic FGF (FGF-2). In its second aspect, the present invention is directed to a method for inducing angiogenesis, or increasing myocardial perfusion or vascular density in a patient's heart, comprising administering directly into the myocardium in an area in need, as a single injection or a series of injections, a unit dose of an angiogenic agent. It is also within the scope of the present invention that a plurality of unit dose compositions be administered directly into the myocardium at a plurality of sites in need of angiogenesis. In another aspect, the present invention is directed to a method for treating a patient for coronary artery disease, comprising administering directly into the myocardium in an area of need of angiogenesis in said patient, a unit dose (*i.e.*, from about 5 ng to less than 135,000 ng) of an angiogenic agent. In yet another aspect, the present invention is directed to a method for treating a patient for a myocardial infarction, comprising administering directly into the myocardium in an area in need of angiogenesis in said patient, a unit dose (*i.e.*, from about 5 ng to less than 135,000 ng) of an angiogenic agent.

DOSE OF AN ANGIOGENIC FACTOR AND METHOD OF ADMINISTERING TO IMPROVE MYOCARDIAL BLOOD FLOW

BACKGROUND OF THE INVENTION

A. Field of the Invention

The present invention is directed to a dose, including an ultra-low
5 dose, of an angiogenic factor, such as a fibroblast growth factor (FGF), platelet
derived growth factor or a vascular endothelial growth factor, or an
angiogenically active fragment or mutein thereof, and to a mode of administering
the dose to obtain improved myocardial blood flow. The present invention is
also directed to a pharmaceutical composition comprising the dose of angiogenic
10 factor and to a method for administering the pharmaceutical composition to a
heart, preferably a human heart, to improve myocardial function, blood flow,
perfusion and/or vascular density. The present invention is useful because the
disclosed dose, pharmaceutical composition and method for its administration
provide an alternative or adjunct to surgical intervention for the treatment of
15 coronary artery disease (CAD) and/or further provide a method for reducing post
myocardial infarct (MI) injury in humans. Finally, the present invention
includes a method for determining whether the administered angiogenic agent is
having a therapeutic effect on the target tissues by assaying for a surrogate
marker.

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B. Background of the Invention

Coronary artery disease (atherosclerosis) is a progressive disease
in humans wherein one or more coronary arteries gradually become occluded
25 through the buildup of plaque. The coronary arteries of patients having this
disease are often treated by balloon angioplasty or the insertion of stents to prop
open the partially occluded arteries. Ultimately, these patients are required to

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undergo coronary artery bypass surgery at great expense and risk. It would be desirable to provide such patients with a treatment that would enhance coronary blood flow so as to preclude the need to undergo bypass surgery or angioplasty.

An even more critical situation arises in humans when a patient suffers a myocardial infarction, wherein one or more coronary arteries or arterioles becomes completely occluded, such as by a clot. There is an immediate need to regain circulation to the portion of the myocardium served by the occluded artery or arteriole. If the lost coronary circulation is restored within hours of the onset of the infarction, much of the damage to the myocardium that is downstream from the occlusion can be prevented. The clot-dissolving drugs, such as tissue plasminogen activator (tPA), streptokinase, and urokinase, have been proven to be useful in this instance. However, as an adjunct to the clot dissolving drugs, it would also be desirable to also obtain collateral circulation to the damaged or occluded myocardium by angiogenesis.

Accordingly, it is an object of the present invention to provide a dose of an angiogenic agent and a mode of its administration to a human heart in need of angiogenesis that provides the human heart with cardiac angiogenesis while minimizing the risk of inducing angiogenesis elsewhere in the body, particularly in an undetected tumor. More particularly, it is a further object of the present invention to provide a therapeutic dose of an angiogenic factor and a mode of its administration to a human patient that provides the desired property of cardiac angiogenesis, such as during the treatment of coronary artery disease and/or post acute myocardial infarction, while minimizing the possibility of an adverse angiogenic effect occurring elsewhere in the body.

Angiogenic agents include the platelet derived growth factors (PDGF), vascular endothelial growth factor-A (VEGF-A), transforming growth factor- β 1 (TGF- β 1) and the fibroblast growth factors. The fibroblast growth factors (FGF) are a family of at least eighteen structurally related polypeptides (named FGF-1 to FGF-18) that are characterized by a high degree of affinity for

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proteoglycans, such as heparin. The various FGF molecules range in size from 15-23 kD, and exhibit a broad range of biological activities in normal and malignant conditions including nerve cell adhesion and differentiation [Schubert *et al.*, *J. Cell Biol.* **104**:635-643 (1987)]; wound healing [U.S. Patent 5,439,818 (Fiddes)]; as mitogens toward many mesodermal and ectodermal cell types, as trophic factors, as differentiation inducing or inhibiting factors [Clements, *et al.*, *Oncogene* **8**:1311-1316 (1993)]; and as an angiogenic factor [Harada, *J. Clin. Invest.*, **94**:623-630 (1994)]. Thus, the FGF family is a family of pluripotent growth factors that stimulate to varying extents fibroblasts, smooth muscle cells, epithelial cells and neuronal cells.

When any angiogenic agent (or factor) is released by normal tissues, such as in fetal development or wound healing, it is subject to temporal and spatial controls. However, many angiogenic agents are also oncogenes. Thus, in the absence of temporal and spatial controls, they have the potential to stimulate tumor growth by providing angiogenesis. Accordingly, before any angiogenic agent is used as a medicament in human patients, consideration must be given to minimizing its angiogenic effect on undetected tumors. As a result, it is an object of the present invention to provide a dosage of angiogenic agent and a mode of its administration that would provide localized angiogenesis in a targeted organ but which would minimize the risk of enhancing angiogenesis in an undetected tumor elsewhere in the body.

Many of the angiogenic agents (*e.g.*, PDGF, VEGF-A or FGF) have been isolated and administered to various animal models of myocardial ischemia with varying and often times opposite results. According to Battler *et al.*, "the canine model of myocardial ischemia has been criticized because of the abundance of naturally occurring collateral circulation, as opposed to the porcine model, which 'excels' in its relative paucity of natural collateral circulation and its resemblance to the human coronary circulation." Battler *et al.*, "Intracoronary Injection of Basic Fibroblast Growth Factor Enhances

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Angiogenesis in Infarcted Swine Myocardium," *JACC*, 22(7): 2001-6 (Dec. 1993) at page 2002, col.1. Thus, those of ordinary skill in the art considered the porcine heart to be the model that excelled most in its resemblance to the human heart. Further, Battler points out that "the dosage and mode of administration of bFGF [i.e., bovine FGF-2] may have profound implications for the biologic effect achieved." Battler, *et al.*, at page 2005, col.1. Thus, it is a further object of this invention to provide a dosage and a mode of administration of an angiogenic agent that would provide for the safe and efficacious treatment of CAD and/or post MI injury in a human patient. More generally, it is an object of the present invention to provide a pharmaceutical composition and method for administration that would induce angiogenesis in a human heart while minimizing the risk of angiogenesis elsewhere in the body.

The various studies to date on angiogenic agents have administered dosages of the angiogenic agent in the range of 10 µg to 1500 µg. For example, Yanagisawa-Miwa, *et al.*, "*Salvage of Infarcted Myocardium by Angiogenic Action of Basic Fibroblast Growth Factor*," *Science*, 257:1401-1403 (1992), disclose infusing two 10 µg doses of human recombinant basic FGF (hrFGF-2) in 10 ml of saline over a one minute period into the left circumflex coronary artery (LCX) of dogs after inducing a myocardial infarction by inserting a thrombus into the adjacent left ascending coronary artery (LAD). Yanagisawa-Miwa further discloses that as a result of the intracoronary administration of a total of 20 µg of hrFGF-2 in this canine model, "vessel formation occurred within 1 week after administration of bFGF." Yanagisawa-Miwa at page 1403. Banai *et al.*, "*Angiogenic-Induced Enhancement of Collateral Blood Flow to Ischemic Myocardium by Vascular Endothelial Growth Factor in Dogs*," *Circulation*, 89(5):2183-2189 (May 1994), discloses successfully inducing coronary angiogenesis (i.e., a 40% increase in collateral blood flow and an 89% increase in the numerical density of intramyocardial distribution vessels) in dogs by administering 45 µg of human recombinant

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VEGF/day for 5 days/week for 4 weeks to the distal left circumflex artery (LCx) of dogs whose proximal LCx was constricted before the first takeoff branch with an ameroid constrictor and wherein a hydraulic balloon occluder was placed immediately distal to the encircling ameroid. In a similar study, Unger, *et al.*,
5 "*Basic fibroblast growth factor enhances myocardial collateral flow in a canine model*," *Am. J. Physiol.*, **266** (*Heart Circ. Physiol.* 35): H1588-H1595 (1994), disclose enhancing collateral blood flow (*i.e.*, final collateral to normal zone (CZ/NZ) blood flow ratios of 0.49 and 0.35 in the treated and untreated groups, respectively) in dogs by administering a daily bolus of 110 µg of human
10 recombinant basic FGF (the 155 residue form) for 9 days to the distal left circumflex artery (LCx) of dogs whose proximal LCx was constricted before the first takeoff branch with an ameroid constrictor and wherein a hydraulic balloon occluder was placed immediately distal to the encircling ameroid. However, in the above study, Unger was not able to show that his method or dosage induced
15 angiogenesis. Making any assessment based on collateral blood flow more difficult, Unger also discloses that administration of basic FGF causes an acute vasodilatory effect, reducing blood pressure and increasing coronary blood flow. Unger (1994) at page H1590, col. 2 and at page H1592, col. 2.

In an earlier study, Unger, *et al.*, "*A model to assess interventions to improve collateral blood flow: continuous administration of agents into the left coronary artery in dogs*," *Cardiovascular Res.*, **27**:785-791 (1993), Unger
20 discloses the continuous infusion for four (4) weeks of 30 µg/hr recombinant acidic FGF (*i.e.*, FGF-1) in the presence of 30 IU/hr heparin into the proximal end of the left circumflex artery (LCx) of a dog after constricting the artery for
25 four weeks with an ameroid constrictor, followed by double ligation of the artery and insertion of a catheter for infusing the FGF-1 into the proximal stub of the ligated LCx. Unger (1993) at page 785. Notwithstanding that a total cumulative dose of 10 mg of acidic FGF was infused into the coronary artery of each dog. Unger reported that in this model, "acidic FGF had no demonstratable effect on

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collateral blood flow. . . .” Unger (1993) at page 785 (Abstract), and at page 790.

Harada, *et al.*, “*Basic Fibroblast Growth Factor Improves Myocardial Function in Chronically Ischemic Porcine Hearts*,” *J. Clin. Invest.*,
5 94:623-630 (Aug. 1994), disclose enhancing coronary blood flow and reduction in infarct size in a gradual coronary occlusion model in Yorkshire pigs by extraluminal (periadvential) administration of 8 μ g of basic FGF in the form of 4-5 capsules having 1 μ g/capsule of basic FGF that are positioned on the proximal left anterior descending artery (LAD) and both proximal and distal to
10 an ameroid constrictor placed on the proximal end of the left circumflex artery (LCx) before the first takeoff branch. Although an express object of Harada’s experiment was to “alleviate chronic myocardial ischemia by stimulating angiogenesis” [Harada at page 628], Harada was not able to show angiogenesis. Moreover, Harada concluded that “[I]t is not clear what is the optimal dose of
15 bFGF or the length or route of administration.” Harada at page 629. Separately, Landau *et al.*, “*Intrapericardial basic fibroblast growth factor induces myocardial angiogenesis in a rabbit model of chronic ischemia*,” *Am. Heart Journal*, 129:924-931 (1995), discloses that administering 180 ng/day of human recombinant basic FGF (154 residues) into the pericardial space of 2.0-
20 4.3 kg rabbits for 7-28 days, enhances new epicardial small-vessel growth, and that the effect is enhanced by left ventricular hypertrophy. The dosage of basic FGF utilized in Landau, when scaled to the size of a 70 kg man, would correspond to 2.9 μ g/day for 7-28 days, or a total dose of basic FGF of 20.3 μ g - 81.2 μ g. Lopez *et al.*, “*Angiogenic potential of perivascularly delivered aFGF in a porcine model of chronic myocardial ischemia*,” *Am. J. Physiol.* 274 (*Heart Circ. Physiol.* 43): H930-H936 (1998), discloses improving myocardial flow and regional and global left ventricular function in Yorkshire pigs by perivascular delivery of 14 μ g of a recombinant human aFGF mutein (*i.e.*, Ser-117 aFGF, wherein Ser replaces Cys) that is diffusely distributed in a porous ethylene vinyl

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acetate (EVA) polymer that is secured with sutures over the proximal left circumflex artery. Lopez reports that the perivascularly delivered aFGF improved blood flow in the compromised region of the heart in animals both "at rest" and "during rapid pacing." Lopez at page H934, col.2. However, Lopez
5 was unable to directly attribute the increased blood flow to angiogenesis, citing other possible sources, such as "vasodilation" or "improvements in vascular circulation."

Finally, U.S. Pat. 4,296,100, which issued to Franco on Oct. 20, 1981, discloses a method for treating a myocardial infarction in patients by
10 administering 10 mg to 1 g of 90% pure bovine FGF (pituitary extract) per 100 g of heart tissue as a one-time treatment immediately following infarct. According to Franco, "[a]t least 10 micrograms/100 grams heart is used to achieve the effect desired." Franco at col. 1, lines 62-64. Franco discloses that the FGF is administered to the heart by a variety of modes, including direct injections into
15 the heart, intravenous injection, subcutaneous injection, intramuscular injection and oral ingestion. Franco at col. 2, lines 63-69. Franco also discloses that his method was able to reduce infarct size (area of scarring or of permanent damage) to one quarter of that in the control. Franco at Table III. According to Franco, the function of the FGF was to "increase blood flow for a sustained period of
20 time after myocardial infarction." Franco at col. 1, lines 42-43. However, the acute affect of any FGF administration is vasodilation which inherently increases coronary blood flow. Franco expressly discloses that a histological study "did not show any significant increase in capillary areas in the hearts" as a result of such treatment with 10 µg to 1 g of FGF per 100 g of heart. Franco at col. 4,
25 lines 13-17. Moreover, Franco did not address the issue of whether administering such large doses of FGF would have angiogenic effects in any undiscovered tumors in the body.

Thus, it is an object of the present invention to provide a dosage of an angiogenic agent and a method of administering one or more dosages of the

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angiogenic agent to a patient in an amount that is effective to induce angiogenesis to an area of the heart in need of angiogenesis. It is a further object of this application to provide a dosage and a method for delivering an angiogenic agent that would provide for a therapeutic effect, including angiogenesis at the target
5 site, while reducing the risk of inducing angiogenesis at an unwanted site elsewhere in the body.

The above-described references and all other references cited herein are expressly incorporated herein in their entirety.

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SUMMARY OF THE INVENTION

The Applicants have unexpectedly discovered that certain dosages of an angiogenic agent, when injected into the myocardium downstream from a coronary occlusion, provided that portion of the myocardium with a therapeutic response as reflected by an increase in resting regional perfusion, an improvement in regional cardiac function, and increased vascularity. In particular, the Applicants discovered that a unit dose (*i.e.*, from about 5 ng/dose to less than 135,000 ng/dose) of an angiogenic agent, when administered directly into the myocardium as a single injection or as a series of injections in the area of need, induced coronary angiogenesis in the myocardium in the area of administration but became sufficiently diluted elsewhere in the body to minimize any risk of inducing angiogenesis. When the unit dose of angiogenic agent of the present invention is administered as a series of injections, the series of injections are administered as a single procedure on the same day or as a series of injections on successive or alternating days as needed. However, the cumulative amount of the dosage of the angiogenic agent that is administered is typically from about 5 ng to less than 135,000 ng (135 μ g), more typically from 5 ng to 67,500 ng (67.5 μ g). Thus, in one aspect, the present invention is directed to a unit dose pharmaceutical composition ("pharmaceutical composition") comprising from about 5 ng to less than 135,000 ng (preferably from 5 ng to 67,500 ng) of an angiogenic agent in a pharmaceutically acceptable carrier. In another aspect, the present invention is directed to a unit dose pharmaceutical composition ("unit dose composition") comprising from about 5 ng to less than 135,000 ng (preferably from 5 ng to 67,500 ng) of an angiogenic agent in a pharmaceutically acceptable carrier.

In yet another aspect, the present invention is directed to method for inducing angiogenesis, or increasing regional perfusion, or increasing cardiac function, or increasing vascular density in the myocardium of a patient in need of such treatment, comprising injecting a unit dosage of an angiogenic agent, as a

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single injection or as a series of injections, directly into an area of myocardium in need of such angiogenesis, or increased regional perfusion, or increased cardiac function, or increased vascular density, respectively. It is also within the scope of the above described method that the step of injecting the unit dosage be performed as a single injection, or preferably as a series of injections on the same day. Regardless of whether the above method is performed using a single injection or a series of injections, the cumulative amount of the angiogenic agent that is injected into the area of myocardium in need of angiogenesis during the one or more injections is from about 5 ng to less than 135,000 ng (135 μ g).

It is also appropriate to express the unit dose of the present invention as μ g of angiogenic agent per kilogram (kg) of patient weight. When so expressed, a dose of angiogenic agent for intramyocardial (IMc) injection in accordance with the present invention ranges from about 0.06 μ g angiogenic agent to about 10.0 μ g angiogenic agent per kg of patient weight (hereinafter “ μ g/kg”). More typically, the dose of angiogenic agent ranges from 0.06 μ g/kg to 6.0 μ g/kg. However, because the angiogenic agent is being injected directly into the myocardium of the patient in the method of the present invention, the typical dilutional effects on dosage associated with patient body weight are minimal, particularly when compared to systemic or intracoronary administration of the same amount of angiogenic agent.

Two diseases where angiogenesis increased regional perfusion, and increased coronary vascularity are desirable are coronary artery disease (CAD) and myocardial infarction (MI). Thus, in another aspect, the present invention is also directed to a method for treating a patient for coronary artery disease (CAD) comprising injecting a unit dosage of an angiogenic agent, as a single injection or as a series of injections, directly into a portion of the myocardium manifesting symptoms of CAD, the unit dosage containing an amount of the angiogenic agent (about 5 ng to less than 135,000 ng) that is effective to induce angiogenesis, or increase regional perfusion, or increase

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myocardial function by DSE at peak stress, or increase vascularity in the area of myocardium manifesting said symptoms. In another aspect, the present invention is directed to a method for treating a patient for a myocardial infarction (MI) comprising injecting a unit dosage of an angiogenic agent, as a single injection or
5 as a series of injections, directly into an area of myocardium manifesting symptoms of coronary insufficiency as a result of said MI. In the above described method, the unit dose of angiogenic agent that is effective in treating said myocardial infarction is about 5 ng to less than 135,000 ng of angiogenic agent/unit dose, more typically 5 ng to 67,500 ng of angiogenic agent/unit dose.

10 Although the unit dose is typically injected into the myocardium on a single day, it is within the scope of the present invention that the step of injecting the unit dose of angiogenic agent be performed or repeated on successive or alternating days or weekly or monthly as needed. Regardless of whether the above method is repeated, the cumulative amount of the angiogenic
15 agent that is injected into the area of myocardium in need of angiogenesis during any single intervention is from about 5 ng to less than 135,000 ng of said angiogenic agent.

A suitable angiogenic agent for use in the unit dose or pharmaceutical composition of the present invention is selected from the group
20 consisting of a platelet-derived growth factor (PDGF), vascular endothelial growth factor (VEGF-A), VEGF-B, VEGF-D, transforming growth factor- β (TGF- β 1), fibroblast growth factor (FGF), or an angiogenically active fragment or mutein thereof. Preferably, the angiogenic agent is VEGF-A, VEGF-D, an FGF, or an angiogenically active fragment or mutein thereof. More preferably,
25 the angiogenic agent is an FGF, such as FGF-1, FGF-2 or FGF-5, or an angiogenically active fragment or mutein thereof. Most preferably, the angiogenic agent is FGF-2, or an angiogenically active fragment or mutein thereof.

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The duration of the therapeutic effects provided by the method of the present invention is wholly unexpected. In particular, when a single unit dose of 0.06 $\mu\text{g/kg}$ (1,350 ng total dose) of recombinant bovine FGF-2 (SEQ ID NO: 2) was administered by injection directly to the myocardium of a miniswine having 90% occlusion of the left circumflex coronary artery (*i.e.*, providing a model of a hibernating myocardium), improvements were seen in the resting mean blood flow (MBF), the wall motion score index (WMSI), vascular perfusion, myocardial function, and vascular density in the hibernating myocardial tissue, which continued for as far out as the six (6) month measurement period. By way of example, the resting MBF increased from a baseline of $64 \pm 0.04\%$ of non-ischemic septal flow to $71 \pm 0.05\%$, $p < 0.05$ vs baseline at one month post-treatment and to 76 ± 0.06 , $p < 0.05$ vs baseline at three months post-treatment. At six months post-treatment, the resting MBF increased from $61.3 \pm 4.4\%$ of non-ischemic septal flow at baseline to $82.8 \pm 3.1\%$. In another test that is accepted as a measure of contractile reserve, the wall motion score index (WMSI) measured at rest for the LCx region (after 90% stenosis of the LCx) improved from 2.4 ± 0.2 to 2.2 ± 0.2 ($p = 0.08$ vs baseline) at 6 months post-treatment. Similarly, the wall motion score index (WMSI) measured at peak stress for the LCx region (after 90% stenosis of the LCx) improved significantly, decreasing from 2.2 ± 0.4 to 1.8 ± 0.3 ($p = 0.05$ vs baseline) at 6 months post-treatment. These decreases in the wall motion score index are consistent with a reduction in ischemia. In contrast, the patients (miniswine) that were treated with the vehicle for the angiogenic agent exhibited no significant change in resting MBF, and no significant change in their resting or stress WMSI at any time during the six month post-treatment period.

In addition, after intramyocardial (IMc) injection of a single unit dose of FGF-2 (0.06 $\mu\text{g/kg}$, *i.e.*, 1.35 μg) in the above-described pig model of a hibernating myocardium, normalized perfusion, which is reported as % change in perfusion, continued to increase throughout the measurement period from 18%

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to 38% at 3 and 6 months, respectively, compared to increases of 6% and 13% at 3 and 6 months, respectively for saline. *See* Figure 4. When three different embodiments of the unit dose of the present invention, *i.e.*, a unit dose containing 0.06 µg/kg (1.35 µg) of rFGF-2 (SEQ ID NO: 2) “low” dose ; a unit
5 dose containing 0.6 µg/kg (13.5 µg) of rFGF-2 (SEQ ID NO: 2) “mid” dose; a unit dose containing 6.0 µg/kg (135 µg) of rFGF-2 (SEQ ID NO: 2) “high” dose, were injected IMc into the pig model of the hibernating myocardium (90% occlusion of the LCx) and compared to intracoronary (IC) injection of the “mid” dose in the ameroid model (100% occlusion of the LCx), all IMc injections
10 produced a normalized perfusion at 3 months that was superior to that produced by IC injection. Figures 7 and 8. Suprisingly, the mid dose resulted in 10% greater normalized perfusion than that produced by either the low dose or the high dose at three months post-dosing. Figure 7.

Myocardial function, as measured by a dolbutamine stress
15 echocardiogram (DSE), showed statistically significant increases in myocardial function (lower number) at 3 and 6 months after injection of each of three different unit doses of the present invention (low, medium and high) into the pig model of the hibernating myocardium, compared to injection of placebo and IC injection of the “mid” dose in the ameroid pig model. *See* Figures 5 and 11.
20 Injection of a single unit dose of FGF-2 (1.35 µg) IMc into the pig model of the hibernating myocardium produced a statistically significant ($p < 0.05$) increase in vascularity of the treated hibernating myocardium at 6 months post dosing, as measured by the number of capillaries (44,000) in a fixed volume of the FGF-2 treated ischemic myocardium versus the number of capillaries (17,000) in the
25 same fixed volume of saline treated myocardium. *See* Figure 6.

Finally, Western blot analysis of myocardial tissue from the ischemic regions of the myocardium treated with FGF-2 IC or IMc, indicated that there was a significant upregulation of VEGF (measured as VEGF₁₆₅) and FGF-2, which was detectable even at the end of the observation period (*i.e.*, 3

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months after injection) versus those regions treated with vehicle alone. See Figure 10. Surprisingly, the FGF-2 treated ischemic cells were producing statistically significant amounts of both VEGF and FGF-2 3 months after treatment. More surprisingly, the highest concentration (greater than 290 pg/ml) of intracellular FGF-2 was observed in the ischemic myocardial tissue that was treated 3 months earlier with the "mid" dose (0.6 $\mu\text{g/kg}$, *i.e.*, 13.5 μg) of FGF-2 of SEQ ID NO: 2 IMc. See Figure 10. In contrast, the "high" dose (6.0 $\mu\text{g/kg}$, *i.e.*, 135 μg) of FGF-2, while providing for comparable intracellular concentrations of VEGF (about 100 pg/ml), only provided for a concentration of intracellular FGF-2 that was about 165 pg/ml. See Figure 10. Thus, the "mid" dose of FGF-2, when administered IMc not only stimulated the treated ischemic myocardial cells to produce endogenous VEGF and FGF-2 for three months after treatment, but also stimulated those cells to produce almost twice the concentration of FGF-2 produced by the cells treated with the "high" dose. Given this and the other data provided herein, we would expect production of an unexpectedly superior amount of intracellular FGF-2 to be stimulated by IMc injection of a dose of FGF-2, ranging from about 0.3 $\mu\text{g/kg}$ (or 6.75 μg) to about 3.0 $\mu\text{g/kg}$ (or 67.5 μg). (The data at six months is not yet available.) The presence of both VEGF and FGF-2 suggests a mechanism by which occur increases in perfusion, myocardial function and vascular permeability. Thus, in another aspect, the present invention is directed to a method for increasing the intracellular concentration of VEGF and FGF-2 in ischemic myocardial tissue, comprising injecting the ischemic myocardial tissue with a unit dose of an angiogenic agent. Preferably, the angiogenic agent is an FGF; more preferably, FGF-2.

The method of the present invention was found to improve cardiac function for up to 6 months after treatment when compared to various control groups. Specifically, the % change in peak-stress normalized regional function score was found to decrease, indicating improved cardiac function, for the IMc

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administered groups at 3 and 6 months post treatment, and that it increased, indicating decreasing function, for the IC and placebo groups. Figure 11. In addition, the greatest decrease in normalized function scores surprisingly occurred with the "low" dose group, and even more surprisingly showed, by the decreasing function score, that regional myocardial function continued to improve up to 6 months after treatment with the low dose. Figure 11.

Many of the angiogenic agents, such as acidic FGF (aFGF or FGF-1), basic FGF (bFGF or FGF-2), and VEGF are glycosoaminoglycan binding proteins. The presence of a glycosoaminoglycan (also known as a "proteoglycan" or a "mucopolysaccharide") optimizes the angiogenic activity and AUC of these angiogenic agents. As a result, the unit dosages of FGF-1, FGF-2, VEGF-A, VEGF-B, VEGF-D or the angiogenic fragments and muteins thereof, optionally are administered within 20 minutes of the IV administration of a glycosoaminoglycan, such as a heparin. However, in our experience, the presence of an aminoglycan was not needed for efficacy when a unit dose of an angiogenic agent, *e.g.*, FGF-2, was administered IMc in accordance with the method of the present invention.

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BRIEF DESCRIPTION OF THE FIGURES

Fig. 1 is a plot of the mean recombinant bovine FGF-2 plasma concentration versus time (hours) for six different doses of rFGF-2 administered by IC infusion in humans over a 20 minute period. The six doses of rFGF-2 in Fig. 1 are 0.33 $\mu\text{g/kg}$, 0.65 $\mu\text{g/kg}$, 2 $\mu\text{g/kg}$, 6 $\mu\text{g/kg}$, 12 $\mu\text{g/kg}$, and 24 $\mu\text{g/kg}$ of lean body mass (LBM).

Fig. 2 is a plot of each individual patient's rFGF-2 area under the curve (AUC) in $\text{pg}\cdot\text{hr/ml}$ for Fig. 1 for the six doses of rFGF-2, and shows the dose linearity of systemic rFGF-2 exposure following IC infusion.

Fig. 3 is a plot of individual human patient rFGF-2 dose normalized AUCs as a function of the time of heparin administration in "minutes prior to rFGF-2 infusion" and shows the influence of timing of heparin administration on rFGF-2 AUC. The rFGF-2 was recombinant bovine FGF-2.

Fig. 4 is a bar graph comparing the normalized myocardial perfusion (reported as % change from baseline) in the pig model of the hibernating myocardium, as measured by positron emission tomography (PET), at 3 and 6 months following: sham administration; saline; and a unit dose containing 1.35 μg of rFGF-2 (SEQ ID NO: 2).

Fig. 5 is a bar graph comparing myocardial function by dolbutamine stress echocardiogram in the pig model of the hibernating myocardium at baseline, 3 months and 6 months following sham administration; saline; and a unit dose containing 1.35 μg of rFGF-2 (SEQ ID NO: 2).

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Fig. 6 is a bar graph comparing capillary density (# of vessels) in the ischemic myocardial tissue (downstream from the 90% occlusion in the LCx) in the pig model of the hibernating myocardium at 6 months following sham administration; saline; and a unit dose containing 1.35 µg of rFGF-2 (SEQ ID NO: 2).

Fig. 7 is a bar graph, comparing the normalized myocardial perfusion (reported as % change from baseline) in the pig model of the hibernating myocardium, as measured by positron emission tomography (PET), at 3 months following: administering saline (placebo); a unit dose containing 0.06 µg/kg (1.35 µg) of rFGF-2 (SEQ ID NO: 2) "Low"; a unit dose containing 0.6 µg/kg (13.5 µg) of rFGF-2 (SEQ ID NO: 2) "mid"; a unit dose containing 6.0 µg/kg (135 µg) of rFGF-2 (SEQ ID NO: 2) "high". The bar graph shows that the greatest % change in normalized perfusion (*i.e.*, a 27.5% increase) occurred for the "mid" dose, with the "low" and "high" doses showing comparable changes, 17.5% and 17%, respectively. The data in Fig.7 is the result of two separate experiments (light bars and dark bars) with the placebo designated as "uld" (ultra-low dose) being the placebo for the "low" dose, shown as the light colored bars.

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Fig. 8 is a bar graph, comparing the % change in normalized myocardial perfusion (as measured by PET) in the pig model of the ameroid (100% occlusion of the LCx) myocardium at 1 month and 3 months after intracoronary (IC) infusion of 0.6 µg/kg rFGF-2, versus the % change in normalized myocardial perfusion in the pig model of the hibernating myocardium (90% occlusion of the LCx) at 1 month and 3 months after intramyocardial (IMc) injection of the following: saline (placebo); a unit dose containing 0.6 µg/kg (13.5 µg) of rFGF-2 (SEQ ID NO: 2) "mid"; a unit dose containing 6.0 µg/kg (135 µg) of rFGF-2 (SEQ ID NO: 2) "high". The bar graph shows that the

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greatest % increase in normalized perfusion occurred for the “mid” dose of rFGF-2 IMc at 3 months post treatment. The “high” dose unexpectedly showed a lower increase in normalized perfusion than was achieved for the “mid” dose.

5 Fig. 9 is a bar graph comparing vascular density (average vessel number in a designated volume of treated myocardium) for the pig model of the hibernating myocardium treated with 0.6 µg/kg (“mid” dose) or 6.0 µg/kg (“high” dose) rFGF-2 (SEQ ID NO: 2) IMc versus the ameroid pig model (100% occlusion of the LCx) treated with 6.0 µg/kg rFGF-2 (SEQ ID NO: 2) IC, versus treatment with saline IMc (placebo). The results show that the
10 greatest increase in vascular density was produced by the “mid” dose (0.6 µg/kg or 13.5 µg rFGF-2) that was administered IMc.

 Fig. 10 is a bar graph comparing the intracellular concentrations
15 (pg/ml) of VEGF (measured as VEGF₁₆₅) and FGF-2 in ischemic myocardial cells 3 months after treatment with 0.6 µg/kg (13.5 µg) FGF-2 of SEQ ID NO: 2 IC in the ameroid pig model (100% occlusion of the LCx), or with vehicle or 0.6 µg/kg (13.5 µg) FGF-2 of SEQ ID NO: 2 (“mid” dose) or 6.0 µg/kg (135 µg) FGF-2 of SEQ ID NO: 2 (“high” dose) in the pig model of the hibernating
20 myocardium (90% occlusion of the LCx). Surprisingly, the FGF-2 treated ischemic cells were producing statistically significant amounts of both VEGF and FGF-2 up to 3 months after treatment. More suprisingly, the highest concentrations of intracellular FGF-2 were induced by those cells treated with the “mid” dose IMc.

25 Fig. 11 is a bar graph comparing the % change in peak-stress normalized regional function score by DSE at 3 months and 6 months after treatment with placebo, or with the “mid” dose (0.6 µg/kg (13.5 µg)) of FGF-2 (SEQ ID NO: 2) IC in the ameroid pig model, or with the “low” dose (0.06
30 µg/kg (1.35 µg)) of FGF-2 (SEQ ID NO: 2) IMc, or with the “mid” dose (0.6

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μg/kg (13.5 μg)) of FGF-2 (SEQ ID NO: 2) IMc, or with the “high” dose (6.0 μg/kg (135 μg)) of FGF-2 (SEQ ID NO: 2) IMc in the pig model of the hibernating myocardium. Figure 11 shows that the % change in peak-stress normalized regional function score decreased, indicating better function, for the IMc administered groups at 3 and 6 months post treatment, and that it increased, indicating decreasing function, for the IC and placebo groups. In addition, the greatest decrease in normalized function scores occurred with the “low” dose group and surprisingly showed, by the decreasing function score, that function continued to improve up to 6 months after treatment.

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DETAILED DESCRIPTION OF THE INVENTION

The present invention is based upon human clinical trials of patients manifesting symptoms of coronary artery disease (CAD) and upon comparative testing of the effects produced by various modes of administering a recombinant angiogenic agent in two porcine models of coronary insufficiency. The porcine heart is considered to be a particularly relevant model of the human heart because of its resemblance to the human coronary circulation and its paucity of natural collateral circulation. See Battler *et al.*, "Intracoronary Injection of Basic Fibroblast Growth Factor Enhances Angiogenesis in Infarcted Swine Myocardium," *JACC*, 22(7): 2001-6 (Dec. 1993) at page 2002, col.1 ("the canine model of myocardial ischemia has been criticized because of the abundance of naturally occurring collateral circulation, as opposed to the porcine model, which 'excels' in its relative paucity of natural collateral circulation and its resemblance to the human coronary circulation."). One of the animal models employed was the porcine model of a hibernating myocardium. This model was created by surgically placing a hydraulic occluder on the proximal end of the left circumflex coronary artery (LCx). Distal to the occluder, there was placed an embedded flow probe which was continuously monitored the occlusion to maintain it at 90%. The hibernating cardiac model is a particularly relevant model of coronary artery disease. Heart muscle may be classified as healthy, hibernating or dead. Dead tissue is not dead but scarred, non-contracting, and no longer capable of contracting even if it were supplied adequately with blood. Hibernating tissue is non-contracting muscle tissue, but is capable of contracting, should it be adequately resupplied with blood. Healthy heart tissue is identified by strong electrical signals in combination with strong displacement. "Dead or diseased heart tissue is identified by weak electrical signals in combination with dysfunctional displacement, *i.e.*, displacement in a direction opposite that of healthy tissue. Ischemic, or hibernating or stunned heart tissue is identified by strong electrical signals in combination with impaired displacement." See U.S.

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Pat. 5,897,529 (Ponzi) which issued April 27, 1999. The diagnosis of hibernating tissue is critical because it is widely believed that once the occlusion is eliminated, there is an immediate return of normal function. See U.S. Pat. 5,743,266 (Levene) which issued April 28, 1998. Thus, the hibernating model
5 of the myocardium is similar to what occurs in a human patient having coronary artery disease (CAD) and/or chronic angina wherein one or more coronary vessels are partially occluded.

In the porcine ameroid model, an ameroid constrictor, which is a donut-like band or ring that has a hygroscopic material on its inner face, was
10 placed around the proximal end of the LCx in a pig. The hygroscopic material gradually swells and provides 100% occlusion of the artery in 10 days to 3 weeks. Unlike the hibernating model wherein the percentage of occlusion is hydraulically controllable, consistent and reliable, the ameroid model lacks a consistent control. Also, the complete occlusion in the ameroid model leads to
15 infarction and extensive spontaneous collateral formation, which causes mean blood flow in the resting state to return back to normal, making it more difficult to attribute a particular amount of collateral formation to exogenously administered angiogenic agent. Thus, the ameroid model is not as stringent a model as the hibernating myocardium model. Moreover, the 100% occlusion
20 that is provided by the ameroid model makes the ameroid model more analogous to a myocardial infarction, where there is 100% occlusion of one or more coronary arteries.

Using the above described models, the Applicants discovered that a dose (*i.e.*, from about 5 ng/dose to less than 135,000 ng/dose) of an angiogenic
25 agent (*i.e.*, a unit dose) when administered as a single injection or as a series of injections directly into an ischemic area of the myocardium, induced coronary angiogenesis in the myocardium in the area of administration, but became sufficiently diluted elsewhere in the body to minimize any risk of inducing angiogenesis. More typically, the cumulative amount of angiogenic agent that is

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administered to the myocardium of a patient is from 5 ng to 67,500 ng of angiogenic agent. Thus, in one aspect, the present invention is directed to a unit dose pharmaceutical composition ("unit dose") comprising from about 5 ng to less than 135,000 ng (preferably from 5 ng to 67,500 ng) of an angiogenic agent
5 in a pharmaceutically acceptable carrier.

By the term "angiogenesis" or "coronary angiogenesis," as used herein, is meant the formation of new blood vessels, ranging in size from capillaries to arterioles which act as collaterals in coronary circulation. In the present invention, angiogenesis was measured using one or more art accepted
10 indicators that assessed changes in myocardial perfusion, function as measured by a dolbutamine stress echocardiogram, and capillary density.

By the term "angiogenic agent," as used herein, is meant a member selected from the group PDGF, VEGF-A, VEGF-B, VEGF-D, TGF- β 1, FGF, or an angiogenically active mutein or fragment thereof. Preferably, the
15 angiogenic agent is VEGF-A, VEGF-D or an FGF or an angiogenically active fragment or mutein thereof. More preferably, the angiogenic agent is an FGF. Most preferably, the angiogenic agent is FGF-2, or an angiogenically active fragment or mutein thereof.

By the phrase "angiogenically active fragment" is meant a protein
20 or polypeptide fragment of an angiogenic agent that exhibits at least 80% of the angiogenic activity of the parent molecule from which it was derived.

By the phrase "angiogenically active mutein," as used herein, is meant an isolated and purified recombinant protein or polypeptide that has 65% sequence identity (homology) to any naturally occurring angiogenic agent
25 selected from the group PDGF, VEGF-A, VEGF-B, VEGF-D, TGF- β 1 and FGF, as determined by the Smith-Waterman homology search algorithm (*Meth. Mol. Biol.* **70**:173-187 (1997)) as implemented in the MSPRCH program (Oxford Molecular) using an affine gap search with the following search parameters: gap open penalty of 12, and gap extension penalty of 1, and that retains at least 80%

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of the angiogenic activity of the naturally occurring angiogenic agent with which it has at least 65% sequence identity. Preferably, the angiogenically active mutein has at least 75%, more preferably at least 85%, and most preferably, at least 90% sequence identity to the naturally occurring angiogenic agent. Other well-known and routinely used homology/identity scanning algorithm programs include Pearson and Lipman, *PNAS USA*, 85:2444-2448 (1988); Lipman and Pearson, *Science*, 222:1435 (1985); Devereaux *et al.*, *Nuc. Acids Res.*, 12:387-395 (1984); or the BLASTP, BLASTN or BLASTX algorithms of Altschul, *et al.*, *Mol. Biol.*, 215:403-410 (1990). Computerized programs using these algorithms are also available and include, but are not limited to: GAP, BESTFIT, BLAST, FASTA and TFASTA, which are commercially available from the Genetics Computing Group (GCG) package, Version 8, Madison WI, USA; and CLUSTAL in the PC/Gene program by Intellegenetics, Mountain View CA. Preferably, the percentage of sequence identity is determined by using the default parameters determined by the program.

The phrase "sequence identity," as used herein, is intended to refer to the percentage of the same amino acids that are found similarly positioned within the mutein sequence when a specified, contiguous segment of the amino acid sequence of the mutein is aligned and compared to the amino acid sequence of the naturally occurring angiogenic agent.

When considering the percentage of amino acid sequence identity in the mutein, some amino acid residue positions may differ from the reference protein as a result of conservative amino acid substitutions, which do not affect the properties of the protein or protein function. In these instances, the percentage of sequence identity may be adjusted upwards to account for the similarity in conservatively substituted amino acids. Such adjustments are well-known in the art. See, e.g., Meyers and Miller, *Computer Applic. Bio. Sci.*, 4:11-17 (1988).

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To prepare an "angiogenically active mutein" of an angiogenic agent of the present invention, one uses standard techniques for site-directed mutagenesis, as known in the art and/or as taught in Gilman, *et al.*, *Gene*, 8:81 (1979) or Roberts, *et al.*, *Nature*, 328:731 (1987). Using one of the site-directed

5 mutagenesis techniques, one or more point mutations would introduce one or more conservative amino acid substitutions or an internal deletion. Conservative amino acid substitutions are those that preserve the general charge, hydrophobicity/hydrophilicity, and/or steric bulk of the amino acid being substituted. By way of example, substitutions between the following groups are

10 conservative: Gly/Ala, Val/Ile/Leu, Lys/Arg, Asn/Gln, Glu/Asp, Ser/Cys/Thr, and Phe/Trp/Tyr. Significant (up to 35%) variation from the sequence of the naturally occurring angiogenic agent is permitted as long as the resulting protein or polypeptide retains angiogenic activity within the limits specified above.

Cysteine-depleted muteins are muteins within the scope of the

15 present invention. These muteins are constructed using site-directed mutagenesis as described above, or according to the method described in U.S. Pat. 4,959,314 ("the '314 patent"), entitled "Cysteine-Depleted Muteins of Biologically Active Proteins." The '314 patent discloses how to determine biological activity and the effect of the substitution. Cysteine depletion is particularly useful in proteins

20 having two or more cysteines that are not involved in disulfide formation.

One of the angiogenic agents in the pharmaceutical composition and unit dose of the present invention is PDGF. PDGF is a family of three dimeric angiogenically active proteins, PDGF-AA, PGDF-AB and PGDF-BB, wherein separate genes encode the A-chain and the B-chain, respectively. The

25 PDGF receptor type-alpha (PDGFR- α) binds both the A- or B-chain of the PDF dimers with high affinities, whereas the PDGF receptor type- β (PDGF- β) only binds the B-chain. All of the PDGFs are angiogenically active *in vivo*. See Carmeliet, *et al.*, "Vascular development and disorders: Molecular analysis and pathogenic insights," *Kidney Internatl.*, 53:1519-1549 (1998); Risau *et al.*,

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"Platelet-derived growth factor is angiogenic in vivo," *Growth Factors*, 7:261-266 (1992); Martins, *et al.*, "The role of PDGF-BB on the development of the collateral circulation after acute arterial occlusion," 10:299-306 (1994); and Brown *et al.*, "Platelet-derived growth factor BB induces functional vascular anastomoses in vivo," *PNAS USA*, 92:5920-5924 (1995), which are hereby incorporated herein by reference in their entirety. All other references cited herein, either before or after, are expressly incorporated herein by reference in their entirety. The DNA sequence and the amino acid sequence for the 211 amino acid residue human PDGF A-chain precursor are known in the art. See U.S. Pat. 5,219,759, entitled "Recombinant DNA Encoding PDGF A-chain Polypeptide and Expression Vectors," which issued on 06/15/93 to Hedlin *et al.* ("the '759 patent") at Fig. 1. The amino acid sequence for the 125 residue mature PDGF A-chain corresponds to residues 87-211 of Fig 1 of the '759 patent. The '759 patent at Fig. 2 also discloses a cDNA and the deduced amino acid sequence of a variant PDGF A-chain precursor protein, having only 196 amino acid residues, wherein the 110 residue mature PDGF A-chain corresponds to residues 87-196 of the deduced sequence. The first 107 residues of the mature PDGF A-chains (*i.e.*, residues 87-193) are identical. See the '759 patent at Figs. 1 and 2. Thus, the remaining residues, *i.e.*, residues 108-125 of a mature PDGF A-chain are not critical for activity and may be conservatively substituted without adverse effect. In addition, as the 110 residue variant PDGF A-chain of Fig. 2 of the '759 patent shows, the residues beyond residue 110 of the 125 residue mature PDGF are not necessary for activity, and may be deleted to provide a series of deletion muteins that also would be expected to be functional in the present invention. Another reference discloses that the mature A-chain has 104 amino acids. See U.S. Pat. 5,512,545, entitled "PDGF-B Analogues," which issued on 04/30/96 in the name of Brown *et al.* ("the '545 patent"), at col. 2, lines 40-44. Thus, the '545 patent suggests that any residues beyond the first 104 of mature PDGF-A are not critical to PDGF-A activity.

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Likewise, the DNA sequence and the deduced amino acid sequence for human PDGF B-chain are known in the art and disclosed in Figs. 2 and 3, respectively, in the '545 patent. The mature PDGF-A and PDGF-B chains show 60% homology and the 8 cysteine residues in each chain are conserved. Although PDGF B-chain may have the full complement of 160 amino acids shown in Fig. 2 and SEQ ID NO: 1 of the '545 patent, the last 51 residues may be removed without loss of activity. The resulting carboxy-truncated PDGF B-chain has 109 residues (*i.e.*, residues 1-109 of SEQ ID NO: 1 and Fig. 3 of the '545 patent) and contains the binding region which occurs between residues 25 (Ile) and 37 (Phe). If the PDGF B-chain is expressed in yeast, it is desirable to replace the Arg at residue positions 28 or 32 or both with a non-basic, neutral residue to prevent cleavage by the yeast cells. Methods, vectors, and cells for expressing the PDGF A-chain and B-chain, and for combining these A-chains and B-chains to make the three isoforms of PDGF are well known in the art. *See* U.S. Pats. 5,605,816 and 5,512,545 as cited above.

Another angiogenic agent that is an active agent in the pharmaceutical composition and unit dose of the present invention is VEGF. VEGF is a basic, homodimeric protein having a molecular weight of about 45,000 Daltons (45 kD) that has four homologues, designated as VEGF (or VEGF-A), VEGF-B, VEGF-C and VEGF-D. For clarity herein, the first member of the family, VEGF, will be referred to herein as VEGF-A. The VEGF family of proteins is characterized by having a highly conserved central region, characterized by the invariant presence in homologous positions of 15 cysteine residues, 8 of which are involved in intra- and intermolecular disulfide bonding. *See Ferrara, et al., "The Biology of Vascular Endothelial Growth Factor," Endocrine Reviews, 18(1):4-25 (1997) at Fig 4.* As a result, the four VEGF homologues have a similar shape (tertiary structure) and are capable of spontaneously forming heterodimers when coexpressed. Accordingly, deletion mutants at the N- and C-terminal ends of the VEGFs that retain the internal

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cysteines would be expected to retain their shape, form dimers and be biologically active. The homologous positioning of 8 of the 15 conserved cysteine residues of VEGF correspond to the 8 conserved cysteine residues of the PDGF family as comparatively shown in *e.g.*, WO 98/02543 at Fig.3; and Keck,
5 *et al.*, "Vascular Permeability Factor, an Endothelial Cell Mitogen Related to PDGF," *Science* **246**:1309-1312 (1989) at page 1311, col. 2 and Fig. 4.

Human VEGF-A exists in four isoforms, having 121, 165, 189 and 206 amino acids, respectively. These four isoforms are designated as VEGF-A₁₂₁, VEGF-A₁₆₅, VEGF-A₁₈₉, and VEGF-A₂₀₆, respectively. *See Ferrara,*
10 *et al.*, "The Biology of Vascular Endothelial Growth Factor," *Endocrine Reviews*, **18**(1):4-25 (1997) at page 5. The human VEGF-A gene is organized into eight (8) exons separated by seven (7) introns and its coding region spans 14kb. *Id.* Alternative exon splicing of the single VEGF-A gene accounts for all of the heterogeneity. VEGF-A₁₆₅ lacks the residues encoded by exon 6, while
15 VEGF-A₁₂₁ lacks the residues encoded by exons 6 and 7. *Id.* The three shorter isoforms of VEGF-A are based upon VEGF-A₂₀₆ and reflect splice variations that occur in the carboxy half of the molecule. However, the last six amino acids (exon 8) of the carboxy terminus are conserved in all four splice variants.

The cDNA sequence that encodes human VEGF-A₁₂₁ and the
20 corresponding amino acid sequence are well-known in the art. *See Leung, et al.*, "Vascular endothelial growth factor is a secreted angiogenic mitogen," *Science* **246**:1306-1309 (1989) at Fig 2B as described at page 1307, col. 3. The cDNA and deduced amino acid sequence for human VEGF-A₁₆₅ are also well-known in the art. *See Leung, et al.*, "Vascular endothelial growth factor is a secreted
25 angiogenic mitogen," *Science* **246**:1306-1309 (1989) at page 1307 and Fig 2B. Likewise, the cDNA and deduced amino acid sequence for human VEGF-A₁₈₉ have been well-know in the art since 1991. *See Keck, et al.*, "Vascular Permeability Factor, an Endothelial Cell Mitigen Related to PDGF," *Science*, **246**:1309-1312 (1989); *see also Tischer et al.*, "The human gene for vascular

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endothelial growth factor," *J. Biol. Sci.*, 266:11947-11954 (1991). Finally, the cDNA and deduced amino acid sequence for human VEGF-A₂₀₆ are also well-known in the art. See Houck, *et al.*, "The vascular endothelial growth factor family: identification of a fourth molecular species and characterization of alternative splicing of RNA," *Mol. Endocrinol.* 5:1806-1814 (1991) at Fig 2A.

An overlapping comparison of the amino acid sequences of the four splice variants (isoforms) of VEGF-A is shown in Ferrara, *et al.*, "Molecular and Biological Properties of the Vascular Endothelial Growth Factor Family of Proteins," *Endocrine Reviews* 13(1):18-32 (1992) at page 21, Fig. 1.

10 The shortest isoform, VEGF-A₁₂₁, which is freely soluble in the extracellular milieu, is slightly acidic due to the absence of most of the carboxy terminus (*i.e.*, exons 6 and 7) which are rich in basic amino acid residues. The longer isoforms, VEGF-A₁₆₅, VEGF-A₁₈₉, and VEGF-A₂₀₆, are less soluble, and thus, less diffusible, than VEGF-A₁₂₁, but exhibit both a mitogenic activity and a

15 binding affinity for a heparin-rich matrix that increases with increasing length at the carboxy terminus. By way of example, VEGF-A₁₆₅ is more than 100-fold more mitogenic than VEGF-A₁₂₁. See Carmeliet *et al.*, "Vascular development and disorders: Molecular analysis and pathogenic insights," *Kidney International*, 53:1519-1549 (1998) at pages 1521-1522. Thus, while all VEGF-

20 A isoforms are active and within the scope of angiogenic agents of the present invention, the highly basic and heparin binding carboxy terminus of VEGF-A is important to maximizing activity. Although the mechanism by which VEGF-A stimulates angiogenesis is not known, Banai suggests that VEGF-A promotes angiogenesis in part via stimulation of endothelial release of PDGF. Banai, *et al.*, "Angiogenic-Induced Enhancement of Collateral Blood Flow to Ischemic Myocardium by Vascular Endothelial Growth Factor in Dogs," *Circulation*, 89(5):2183-2189 (May 1994). VEGF-A binds to the VEGF receptor-1 (VEGFR-1 or FLT1) and to the VEGF receptor-2 (VEGFR-2 or FLK1).

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Human VEGF-B, which is found in abundance in heart and skeletal muscle, is a known nonglycosylated highly basic heparin binding protein that has the amino acid sequence shown in Figure 1 of Olofsson, *et al.*, "Vascular endothelial growth factor B, a novel growth factor for endothelial cells," *PNAS USA* 93:2576-2581 (1996). Like the VEGF-As, VEGF-B is expressed as a prohormone and has 188 amino acid residues of which residues 1-21 are a putative leader sequence and thus are not necessary for angiogenic activity. *Id.* Hence, mature human VEGF-B comprises the 167 residues that follow the putative leader sequence. Olofsson at Fig. 1. The human prohormone VEGF-B also has 88% sequence identity to murine prohormone VEGF-B, varying at residue positions 12, 19, 20, 26, 28, 30, 33, 37, 43, 57, 58, 63, 65, 105, 130, 140, 144, 148, 149, 165, 168, 186 and 188 in a conserved manner. Olofsson at page 2577, col. 2, and Figs 1 and 2 therein. The differences in residues in going from mature human VEGF-B to mature murine VEGF-B are as follows: 5 Pro→Phe, 7 Ala→Gly, 9 Gly→Ser, 12 Arg→Lys, 16 Ser→Pro, 22 Thr→Ala, 36 Thr→Ser, 37 Val→Met, 42 Thr→Asn, 44 Ala→Val, 86 Arg→Gln, 119 Asp→Glu, 129 Pro→Ile, 133 Arg→Pro, 137 His→Arg, 138 His→Arg, 165 Ser→Arg, 168 Arg→His, 165 Leu→Pro, and 167 Arg→Lys. Accordingly, an angiogenic agent of the present invention includes a human VEGF-B mutein having a conservative substitution at one or more of the above-referenced residue positions. Preferably, the conservative substitution is one or more of the above referenced differences in the second preceding sentence above.

VEGF-C, which is expressed most prominently in the heart, lymph nodes, placenta, ovary, small intestine and thyroid, is induced by a variety of growth factors, inflammatory cytokines and hypoxia. VEGF-C is recombinantly expressed as disclosed in Joukov *et al.* and has the amino acid sequence disclosed at page 291 and Fig. 3 therein. See Joukov *et al.*, "A novel vascular endothelial growth factor, VEGF-C, is a ligand for the Flt4 (VEGFR-3)

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and KDR (VEGFR-2) receptor tyrosine kinases," The EMBO Journal, 15(2):290-298 (1996); also Ferrara, *et al.*, "The Biology of Vascular Endothelial Growth Factor," Endocrine Reviews, 18(1):4-25 (1997) at Fig 3. VEGF-C is the largest member of the VEGF family, having 399 amino acid residues and 32% homology to VEGF-A. See Ferrara (1997) at page 11, col. 1. The carboxy end of VEGF-C contains 180 residues of insert (at residue positions 213-295) that are not found in the other VEGFs. See Joukov *et al.* (1996) at Fig. 3; or Ferrara, *et al.*, "The Biology of Vascular Endothelial Growth Factor," Endocrine Reviews, 18(1):4-25 (1997) at Fig. 4. Given its large size, VEGF-C would be the least desirable member of the VEGF family. However, deletion mutants of VEGF-C, lacking residues 213-295, or fragments thereof, lacking one or more residues at the N-terminus, up to residues 1-28 are also within the scope of the term angiogenic factor as used in the present invention. VEGF-C binds to VEGFR-2 (previously known as flt-1 and KDR/Flk-1) and to VEGFR-3 (also known as Flt4). See Joukov *et al.* (1996).

VEGF-D, which is the most recent member of the VEGF family to be discovered, is encoded by the cDNA and has the amino acid sequence shown in Fig. 2 of commonly assigned USSN 09/043,476, filed 03/18/98; and corresponding WO 97/12972 which was published on April 10, 1997. VEGF-D is a dimerizing protein having 304 amino acid residues. The core of VEGF-D is highly conserved relative to the other VEGF proteins. More importantly, it contains the 15 cysteine residues at residue positions 111, 136, 142, 145, 146, 153, 189, 191, 258, 269, 271, 273, 300, 312 and 314 that are highly conserved throughout the VEGFs and PDGFs. Overlapping comparisons of the amino acid sequences of the VEGFs and some of the PDFs, showing the conserved areas, are found in Ferrara, *et al.*, "The Biology of Vascular Endothelial Growth Factor," Endocrine Reviews, 18(1):4-25 (1997) at Fig. 4; in WO 97/12972 and its U.S. equivalent USSN 09/043,476 at Fig.3; and WO 98/02543 at Fig. 3. Biologically active alleles and fragments of the VEGF-D are known in the art.

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In one example, WO 98/07832 discloses a biologically active human VEGF-D that was isolated from lung that differs from the VEGF-D of WO 97/12972 by having the following variations at the designated residue positions: 56 Thr→Ile, 151 Phe→Leu, 152 Met→Ile, 261 Asp→His, 264 Glu→ Phe, and 297
5 Glu→Leu. Accordingly, it is within the scope of the present invention that the angiogenic agent include muteins of VEGF-D that include one or more of the above-referenced amino acid substitutions or a conservative substitution at one or more of the above-referenced residue sites. Such muteins are made by site directed mutagenesis which is a standard technique in the art. In addition, a
10 biologically active VEGF-D that was isolated from human breast tissue lacked the first 30 amino acids. *See* WO 98/24811. Accordingly, it is within the scope of this invention that angiogenic agent include fragments of VEGF-D that lack amino acid residues 1-30 of the mature VEGF-D. Moreover, insofar as residues 109-315 of mature VEGF-D contain the highly conserved region that is
15 responsible for dimerization and binding to receptor, it is also within the scope of the present invention that the angiogenic agent include an N-truncated and/or C-truncated VEGF-D comprising residues 109-315 of the mature hormone of Fig 2 of WO 97/12972 or corresponding USSN 09/043,476.

TGF- β 1 is a member of the TGF- β superfamily, having two dozen
20 members. The various members of the TGF- β superfamily are homo- or hetero-dimers of a mature protein having 110-140 amino acid residues and at least seven cysteines. Six of the cysteines form internal disulfides and the seventh forms a disulfide bond that links the two monomers together. *See* Kingsley, D.M., "The TGF- β superfamily: new members, new receptors, and new genetic tests of
25 function in different organisms," *Genes and Develop.*, 8:133-146 (1994). The TGF- β 1 monomer, like the other monomers of the TGF- β superfamily, has a structural similarity with PDGF, albeit less than 10%. The monomer for human TGF- β 1 is a known 112 residue protein encoded by the cDNA and having the deduced amino acid sequence shown in Fig. 1B(III) of U.S. Pat. 4,886,747,

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entitled "Nucleic Acid Encoding TGF- β and its Uses," which issued on 12/12/89 to Derynck *et al.* and discloses methods for expressing recombinant TGF- β 1. Although TGF- β 1 has 112 amino acid residues, only the sequence of residues corresponding to residue positions 16-31 (*i.e.*, CVRQLYIDFRKDLGWK) of the

5 mature TGF- β 1 (see *e.g.*, Fig 1B(III) of the '747 patent) is necessary for activity. See U.S. Pat. 5,658,883, entitled "Biologically Active TGF- β 1 Peptides," which issued on 08/17/97 to Ogawa *et al.* A larger dimerized fragment of mature human TGF- β 1, corresponding to residues 16-47 (*i.e.*, CVRQLYIDFRKDLGWKWIHEPKGYHANFCLGP), exhibited similar activity

10 to dimers of the 16-31 fragment and to dimers of mature TGF- β 1. The 16-31 residue fragment is dimerized by forming disulfide bonds between the amino terminal cysteines of two monomeric subunits. The 16-47 residue fragment is dimerized by forming disulfide bonds between the amino terminal cysteines, the carboxy terminal cysteines or both of two monomer subunits. Thus, it is within

15 the scope of the present invention that a fragment of TGF- β 1 need only comprise residues 16-31 of the mature human TGF- β 1 to be an active fragment. In addition to directly inducing angiogenesis, there is speculation that TGF- β 1 may induce angiogenesis indirectly *in vivo* by affecting inflammatory or connective tissue cells, which in turn can produce angiogenic molecules, such as VEGF-A,

20 PDGF, FGF2, *etc.* See Carmeliet (1998).

Another angiogenic agent suitable for use in the compositions and method of the present invention is FGF. By the term "FGF," as used herein, is meant a fibroblast growth factor protein that also has angiogenic activity (such as FGF-1, FGF-2, FGF-4, FGF-6, FGF-8, FGF-9 or FGF-98) or an angiogenically

25 active fragment or mutein thereof. Typically, the FGF is human (h) FGF-1, bovine (b) FGF-1, hFGF-2, bFGF-2, hFGF-4 or hFGF-5. In an alternative embodiment, the active agent in the unit dose is hFGF-6, mFGF-8, hFGF-9 or hFGF-98.

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The amino acid sequences and methods for making many of the FGFs that are employed in the unit dose, pharmaceutical composition and method of the present invention are well known in the art. In particular, references disclosing the amino acid sequence and recombinant expression of FGF 1-9 and FGF-98 are discussed sequentially below.

FGF-1: The amino acid sequence of hFGF-1 and a method for its recombinant expression are disclosed in U.S. Patent No. 5,604,293 (Fiddes), entitled "Recombinant Human Basic Fibroblast Growth Factor," which issued on February 18, 1997. *See* Fig. 2d of the '293 patent. This reference and all other references herein, whether cited before or after this sentence, are expressly incorporated herein by reference in their entirety. The amino acid sequence of bFGF-1 is disclosed in U.S. Patent 5,604,293 (Fiddes) at Fig 1b, as is a method for its expression. The mature forms of both hFGF-1 and bFGF-1 have 140 amino acid residues. bFGF-1 differs from hFGF-1 at 19 residue positions: 5 Pro→Leu, 21 His→Tyr, 31 Tyr→Val, 35 Arg→Lys, 40 Gln→Gly, 45 Gln→Phe, 47 Ser→Cys, 51 Tyr→Ile, 54 Tyr→Val, 64 Tyr→Phe, 80 Asn→Asp, 106 Asn→His, 109 Tyr→Val, 116 Ser→Arg, 117 Cys→Ser, 119 Arg→Leu, 120 Gly→Glu, 125 Tyr→Phe and 137 Tyr→Val. In most instances, the differences are conserved. Further, the differences at residue positions 116 and 119 merely interchange the position of the Arg.

FGF-2: The cDNA sequence (SEQ ID NO: 4) encoding the full length 155 residue human FGF-2 (SEQ ID NO: 5) and methods for recombinant expression of human FGF-2 (hFGF-2) are disclosed in U.S. Patent 5,439,818 (Fiddes) entitled "DNA Encoding Human Recombinant Basic Fibroblast Growth Factor," which issued on August 08, 1995 (*see* Fig. 4 therein), and in U.S. Patent 5,514,566 (Fiddes), entitled "Methods of Producing Recombinant Fibroblast Growth Factors," which issued on May 07, 1996 (*see* Fig. 4 therein). Human FGF-2 also has an active N-truncated 146 residue form of SEQ ID NO:6, that lacks the first nine residues from the N-terminus of SEQ

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ID NO: 5. This truncated form is readily produced by making appropriate deletions to the 5' end of the cDNA of SEQ ID NO: 4, using art-known techniques. The cDNA sequence (SEQ ID NO: 1) encoding bovine FGF-2 (SEQ ID NO: 2) and various methods for its recombinant expression are disclosed in
5 U.S. Patent 5,155,214, entitled "Basic Fibroblast Growth Factor," which issued on October 13, 1992. When the 146 residue forms of hFGF-2 and bFGF-2 are compared, their amino acid sequences are nearly identical with only two residues that differ. In particular, in going from hFGF-2 to bFGF-2, the sole differences occur at residue positions 112(Thr→Ser) and 128(Ser→Pro).

10 **FGF-3:** FGF-3 was first identified as an expression product of a mouse *int-2* mammary tumor and its amino acid sequence is disclosed in Dickson *et al.*, "*Potential Oncogene Product Related to Growth Factors*," Nature 326:833 (April 30, 1987). FGF-3, which has 243 residues when the N-terminal Met is excluded, is substantially longer than both FGF-1 (human and
15 bovine) and FGF-2 (human and bovine). A comparison of amino acid residues for mFGF-3 relative to bFGF-1 and bFGF-2 is presented in overlap fashion in Dickson, *et al.* (1987). When the amino acid sequence of mFGF-3 is compared to bFGF-1 and bFGF-2, FGF-3 has 5 locations containing residue inserts relative to both FGF-1 and FGF-2. The most significant of these inserts is a 12 and a 14
20 residue insert relative to FGF-2 and FGF-1, respectively, beginning at residue position 135 of FGF-3. Allowing for the inserts, Dickson discloses that mFGF-3 has 53 residue identities relative to FGF-1 and 69 residue identities relative to FGF-2. In addition, FGF-3 contains a hydrophobic N-terminal extension of 10 residues relative to the N-terminus of the signal sequence in both FGF-1 and
25 FGF-2. Relative to the C-terminus of bFGF-1 and bFGF-2, mFGF-3 contains an approximately 60 residue extension. It is unlikely that the C-terminal extension of mFGF-3 is necessary for activity. More likely, it is a moderator of activity by conferring receptor specificity on the FGF.

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FGF-4: The amino acid sequence for the *hst* protein, now known as hFGF-4, was first disclosed by Yoshida, *et al.*, "*Genomic Sequence of hst, a Transforming Gene Encoding a Protein Homologous to Fibroblast Growth Factors and the int-2-Encoded Protein*," PNAS USA, 84:7305-7309 (Oct. 1987) at Fig. 3. Including its leader sequence, hFGF-4 has 206 amino acid residues. When the amino acid sequences of hFGF-4, hFGF-1, hFGF-2 and mFGF-3 are compared, residues 72-204 of hFGF-4 have 43% homology to hFGF-2; residues 79-204 have 38% homology to hFGF-1; and residues 72-174 have 40% homology to mFGF-3. A comparison of these four sequences in overlap form is shown in Yoshida (1987) at Figure 3. Further, the Cys at residue positions 88 and 155 of hFGF-4 are highly conserved among hFGF-1, hFGF-2, mFGF-3 and hFGF-4 and are found in a homologous region.

The two putative cell binding sites of hFGF-2 occur at residue positions 36-39 and 77-81 thereof. See Yoshida (1987) at Fig. 3. The two putative heparin binding sites of hFGF-2 occur at residue positions 18-22 and 107-111 thereof. See Yoshida (1987) at Fig. 3. Given the substantial similarity between the amino acid sequences for human and bovine FGF-2, we would expect the cell binding sites for bFGF-2 to also be at residue positions 36-39 and 77-81 thereof, and the heparin binding sites to be at residue positions 18-22 and 107-111 thereof. In relation to hFGF-1, the putative cell binding sites occur at residues 27-30 and 69-72, and the putative heparin binding sites occur at residues 9-13 and 98-102. Insofar as mature bFGF-1 has the identical amino acids at residue positions 9-13, 27-30, 69-72 and 98-102 as does mature hFGF-2, bFGF-1 would be expected to have the same cell and heparin binding sites as does hFGF-1.

FGF-5: The cDNA and deduced amino acid sequence for hFGF-5 are disclosed in Zhan, *et al.*, "*The Human FGF-5 Oncogene Encodes a Novel Protein Related to Fibroblast Growth Factors*," Molec. and Cell. Biol., 8(8):3487-3495 (Aug. 1988) at Fig. 1. Zhan also discloses a method for cloning

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hFGF-5. The Applicants also sequenced hFGF-5 and obtained an amino acid sequence which differed from Zhan's sequence at residue position 236 (having a Lys instead of the Zhan's Asn) and at residue position 243 (having a Pro instead of Zhan's Ser). Both amino acid sequences for hFGF-5 have 266 amino acid residues that include a leader sequence of 67 residues upstream of the first residue of mature FGF-2 and a tail sequence that extends about 47 residues beyond the C-terminus of hFGF-2. A comparison between the amino acid sequences of hFGF-1, hFGF-2, mFGF-3, hFGF-4 and FGF-5 is presented in Fig. 2 of Zhan (1988). In Fig. 2 of Zhan, hFGF-1, hFGF-2, mFGF-3 and hFGF-4 are identified as aFGF (*i.e.*, acidic FGF), bFGF (*i.e.*, basic FGF), *int-2*, and hstKS3, respectively, *i.e.*, by their original names. In the above referenced comparison, two blocks of FGF-5 amino acid residues (90 to 180 and 187-207) showed substantial homology to FGF 1-4, *i.e.*, 50.4% with FGF-4, 47.5% with FGF-3, 43.4% with FGF-2 and 40.2% with hFGF-1. See Zhan (1988) at Fig.2.

U.S. Patents 5,155,217 (Goldfarb) and 5,238,916 (Goldfarb), which correspond to the Zhan publication, refer to the FGF-5 of Zhan as FGF-3. However, the art (as evidenced by Coulier below) has come to recognize that the hFGF of Zhan (and of the Goldfarb patents) as FGF-5 and not as FGF-3. The two Goldfarb patents contain the same amino acid sequence for hFGF-5 as reported above by Zhan.

FGF-6: The cDNA and deduced amino acid sequence for hFGF-6 are disclosed in Coulier *et al.*, "Putative Structure of the FGF-6 Gene Product and Role of the Signal Peptide," *Oncogene* 6:1437-1444 (1991) at Fig. 2. Coulier also discloses a method for cloning FGF-6. hFGF-6 is one of the largest of the FGFs, having 208 amino acid residues. When the amino acid sequences of human FGF-1, FGF-2, FGF-3, FGF-4, FGF-5, FGF-6 and FGF-7 are compared, there are strong similarities in the C-terminal two-thirds of the molecules (corresponding *e.g.*, to residues 78-208 of hFGF-6. In particular, 23 residues of FGF-6, including the two cysteines at residue positions 90-157 of

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hFGF-6 were identical between the seven members of the family. This number increases to 33 residues when conserved amino acid residues are considered. The overall similarities between these seven human FGFs ranged from 32% to 70% identical residues and 48% to 79% conserved residues for the C-terminal two-thirds of the molecules. The sequence comparisons of hFGF-1 to hFGF-5 and hFGF-7, relative to hFGF-6, are shown in Table 1 herein.

TABLE 1
Amino Acid Sequence Comparison of hFGF-6 With Other hFGFs

| | <i>Identical Residues*</i> | <i>Conserved Residues**</i> | <i>Identical Residues* (%)</i> | <i>Conserved Residues ** (%)</i> |
|---------------|--------------------------------|---------------------------------|------------------------------------|--------------------------------------|
| hFGF-4 | 91 | 103 | 70 | 79 |
| hFGF-5 | 64 | 82 | 49 | 63 |
| hFGF-3 | 55 | 78 | 42 | 60 |
| hFGF-2 | 54 | 69 | 42 | 53 |
| hFGF-7 | 47 | 68 | 36 | 52 |
| hFGF-1 | 42 | 62 | 32 | 48 |

* Number and percentages of identical or conserved residues were calculated for the C-terminal two-thirds of the hFGF6 molecule (residues 78-208).

** Conserved residues are defined according to the structure-genetic matrix of Feng *et al.*, J. Mol. Evol., 21: 112-125 (1985).

Referring to Table 1, FGF-6 has the highest correspondence (91 identical residues/103 conserved residues) with FGF-4. This amounts to 70% identical and 79% conserved residues. hFGF-6 differed most from hFGF-3, hFGF-2, hFGF-7 and hFGF-1, with 42, 42, 36 and 32; identical residues, respectively.

An overlaid comparison of the amino acid sequences of FGFs 1-7 is shown in FIGURE 3 of incorporated Coulier (1991). Figure 3 of Coulier shows that when in the C-terminal two thirds of the FGF molecules are aligned, there are 23 residue positions wherein the residues from all seven FGF members are identical. There are also ten residue positions wherein residues from all seven FGF members are conserved. Coulier (1991) at Figure 3. In combination, these identical and conserved residues form about 6 locations of

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three to five residues on the terminal two thirds of each of the FGFs 1-7, wherein three to five residues are grouped together in all seven species of human FGF (*i.e.*, hFGF 1-7).

FGF-7: The amino acid sequence of hFGF-7 is well-known
5 in the art and disclosed in Miyamoto, *et al.*, "Molecular Cloning of a Novel Cytokine cDNA Encoding the Ninth Member of the Fibroblast Growth Factor Family, Which Has a Unique Secretion Property," Mol. and Cell. Biol. 13(7):4251-4259 (1993) at Fig. 2. In Miyamoto, the hFGF-7 was referred to by its older name "KGF". FGF-7 has 191 amino acid residues. A comparison of
10 the amino acid sequence of hFGF-7 to the amino acid sequences of hFGF 1-6 and hFGF-9 shows that the carboxy terminal two thirds of the FGF-7 has comparable homology with the distal two thirds of the other members of the group. See Miyamoto (1993) at page 4254 (Fig. 2).

FGF-8: The cDNA and deduced amino acid sequence of
15 mFGF-8 is well-known in the art and disclosed in Tanaka *et al.*, "Cloning and Characterization of an Androgen-induced Growth Factor Essential for the Growth of Mouse Mammary Carcinoma Cells," PNAS USA, 89:8928-8932 (1992) at Fig. 2. Tanaka also discloses a method for making recombinant FGF-8. The mFGF-8 of Tanaka has 215 amino acid residues. MacArthur, *et al.*,
20 "FGF-8 isoforms activate receptor splice forms that are expressed in mesenchymal regions of mouse development," Development, 121:3603-3613 (1995) discloses that FGF-8 has 8 different isoforms that differ at the mature N-terminus but that are identical over the C-terminal region. The 8 isoforms arise because FGF-8 has 6 exons of which the first four (which correspond to the first
25 exon of most other FGF genes) result in alternative splicing.

FGF-9: The cDNA and deduced amino acid sequences of human and murine FGF-9 are known in the art and methods for their recombinant expression are disclosed in Santos-Ocampo, *et al.*, "Expression and Biological Activity of Mouse Fibroblast Growth Factor," J. Biol. Chem.,

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271(3):1726-1731 (1996). Both the human and murine FGF-9 molecules have 208 amino acid residues and sequences that differ by only two residues. In particular, hFGF-9 has Asn and Ser at residues 9 and 34, respectively, whereas mFGF-9 has Ser and Asn, respectively. FGF-9 has complete preservation of the conserved amino acids that define the FGF family. Santos-Ocampo (1996) at page 1726. Half-maximal activation of FGF-9 is seen at 185 ng/ml heparin, whereas half-maximal activation of FGF-1 is seen at 670 ng/ml heparin. Santos-Ocampo (1996) at page 1730. When compared to FGF-1, both FGF-2 and FGF-9 require lower heparin concentrations for optimal activity.

10 **FGF-98:** The cDNA and amino acid sequence of hFGF-98 and a method for its recombinant expression are disclosed in provisional patent application Serial No. 60/083,553 which is hereby incorporated herein by reference in its entirety. hFGF-98, which is also known as hFGF-18, has 207 amino acid residues. Thus, hFGF-6 (207 residues), hFGF-9 (208 residues) and
15 hFGF-98 (207 residues) are similar in size.

 FGFs differentially bind to and activate one or more of four related transmembrane receptors which in turn mediate a biological response. The FGF receptors ("FGFR") are members of the tyrosine kinase receptor superfamily. The extracellular domain of the FGFR comprises 2-3
20 immunoglobulin-like ("Ig-like") domains that are differentially expressed as a result of alternative splicing. Another alternative splicing event can also alter the sequence of the carboxy-terminal half of the Ig-like domain III without altering the reading frame. Santos-Ocampo (1996). The two splice forms, which are referred to as "b" and "c", occur for FGFRs 1, 2, 3 but not 4. A more detailed
25 description of the FGFR is found in Mathieu, *et al*, "Receptor Binding and Mitogenic Properties of Mouse Fibroblast Growth Factor 3," J. Biol. Chem., 270(41):24197-24203 (1995). The ability of FGF 1-9 to differentially stimulate FGFRs was receptor dependent as reported by Ornitz *et al.*, J. Biol. Chem., 271(25):15292-15297 (1996). In Ornitz, the cell line BaF3 was divided into

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fractions and each fraction was transfected to express one of the following FGF receptors: FGFR1b, FGFR1c, FGFR2b, FGFR2c, FGFR3b, FGFR3c and FGF4 (minus one Ig-like domain). Thereafter, the transformed cell lines were exposed to one of FGF 1-9 (5 nM) and heparin (2 µg/ml) as a cofactor. The mitogenic response was then measured by incorporation of [³H] thymidine. The results in cpm are as follows:

1. FGFR1b: similar mitogenic responses were produced by hFGF-1 (32,000 cpm) and hFGF-2 (28,000 cpm) with the next highest responses by mFGF-3 (about 16,000 cpm) and hFGF-4 (15,000 cpm);
- 10 2. FGFR1c: similar mitogenic responses were produced by hFGF-1, hFGF-2, hFGF-4, hFGF-5, and hFGF-6 (about 36,000 cpm), with mFGF-9 producing the only other significant response (about 19,000 cpm);
3. FGFR2b: best mitogenic responses were by hFGF-7 (14,000 cpm), hFGF-1 (12,500 cpm) and mFGF-3 (9,500 cpm);
- 15 4. FGFR2c: best mitogenic responses were by hFGF-4 (21,000 cpm), mFGF-9 (20,000 cpm), hFGF-6 (16,500 cpm), hFGF-1 (16,000 cpm), hFGF-2 (14,500 cpm), hFGF-5 (9,500 cpm), and mFGF-8 (9,000 cpm);
5. FGFR3b: mitogenic responses only by hFGF-1 (37,000 cpm) and mFGF-9 (26,000 cpm);
- 20 6. FGFR3c: best mitogenic responses by hFGF-1 (39,000 cpm), hFGF-2 (34,000 cpm), hFGF-4 (33,000 cpm), mFGF-8 (32,500 cpm), mFGF-9 (31,000 cpm), hFGF-5 (16,000 cpm) and hFGF-6 (13,000 cpm);
7. FGFR4Δ: best mitogenic responses by hFGF-2 (29,000 cpm), hFGF-4 and hFGF-6 (27,000 cpm), mFGF-8 (25,000 cpm), mFGF-1 (24,000 cpm), and hFGF-9 (20,000 cpm) with all others being 6,000 cpm or less.
- 25

As reflected above, only FGF-1 induces a significant mitogenic response in all of the receptors tested. Thus, FGF-1 can be thought of as a universal ligand with N- and C-terminal additions to the molecule giving rise to receptor specificity associated with the other FGF. Given the potential for

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diverse responses *in vivo* by systemically administered FGF, the present invention minimizes the potential for systemic responses by localized administration, and by discovering the appropriate dosage for the localized administration, *i.e.*, by administering a therapeutically effective amount of a FGF into at least one coronary artery of a patient in need of treatment for CAD.

In the Examples that follow, bFGF-2 was administered *in vivo* to rats, pigs and humans, and tested for angiogenic activity. The bFGF-2 of the Examples was made as described in U.S. Patent 5,155,214 ("the `214 patent"). In the method of the `214 patent, a cDNA encoding bFGF (hereinafter "FGF-2") is inserted into a cloning vector, such as pBR322, pMB9, Col E1, pCRI, RP4 or λ -phage, and the cloning vector is used to transform either a eukaryotic or prokaryotic cell, wherein the transformed cell expresses the FGF-2. In one embodiment, the host cell is a yeast cell, such as *Saccharomyces cerevisiae*. The resulting full length FGF-2 that is expressed has 146 amino acids in accordance with sequence shown at col. 6 of the `214 patent. Although the resulting FGF-2 has four cysteines, *i.e.*, at residue positions 25, 69, 87 and 92, there are no internal disulfide linkages. [The `214 patent at col. 6, lines 59-61.] However, in the event that cross-linking occurred under oxidative conditions, it would likely occur between the two Cys residues at positions 25 and 69, respectively.

Bovine FGF-2 (bFGF-2), like the corresponding human FGF-2 (hFGF-2), is initially synthesized *in vivo* as a polypeptide having 155 amino acid residues. Abraham *et al.* "Human Basic Fibroblast Growth Factor: Nucleotide Sequence and Genomic Organization," EMBO J., 5(10):2523-2528 (1986). When the 146 residue bFGF-2 (SEQ ID NO: 2) of the examples is compared to the full length 155 residue bFGF-2 of Abraham, Applicants' bFGF-2 (SEQ ID NO: 2) lacks the first nine amino acid residues, *i.e.*, Met-Ala-Ala-Gly-Ser-Ile-Thr-Thr-Leu (SEQ ID NO: 3) found at the N-terminus of Abraham's full length molecule. As discussed above, mature bFGF-2 differs from mature hFGF-2 in only two residue positions. In particular, the amino acids at residue positions

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112 and 128 of the mature bFGF-2 (SEQ ID NO: 2), are Ser and Pro, respectively, whereas in corresponding mature hFGF-2 (SEQ ID NO: 6), they are Thr and Ser, respectively. In view of this substantial structural identity (*i.e.*, greater than 97% identity) between bFGF and hFGF-2, the *in vivo* clinical results that are provided in the Examples, and discussed elsewhere herein on the angiogenic activity, dosage and mode of administering recombinant bFGF-2 should be directly applicable to recombinant hFGF-2 (collectively "FGF-2").

The recombinant bFGF-2 (SEQ ID NO: 2) of the Examples was purified to pharmaceutical quality (98% or greater purity) using the techniques described in detail in U.S. Pat. 4,956,455 (the '455 patent), entitled "Bovine Fibroblast Growth Factor" which issued on 09/11/90 and which was incorporated herein by reference in its entirety. In particular, the first two steps employed in the purification of the recombinant bFGF-2 of Applicants' unit dose are "conventional ion-exchange and reverse phase HPLC purification steps as described previously." [The '455 patent, citing to Bolen *et al.*, PNAS USA 81:5364-5368 (1984).] The third step, which the '455 patent refers to as the "key purification step" [see the '455 patent at col. 7, lines 5-6], is heparin-SEPHAROSE® affinity chromatography, wherein the strong heparin binding affinity of the FGF-2 is utilized to achieve several thousand-fold purification when eluting at approximately 1.4M and 1.95M NaCl [the '455 patent at col. 9, lines 20-25]. Polypeptide homogeneity was confirmed by reverse-phase high pressure liquid chromatography (RP-HPLC). Buffer exchange was achieved by SEPHADEX® G-25(M) gel filtration chromatography.

In addition to the above described FGFs, the angiogenic agent of the compositions and the method of the present invention also comprises an "angiogenically active fragment" of any one of the above-described FGFs. In its simplest form, the angiogenic fragment is made by the removal of the N-terminal methionine, using well-known techniques for N-terminal Met removal, such as treatment with a methionine aminopeptidase. A second desirable truncation

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comprises an FGF without its leader sequence. Those skilled in the art recognize the leader sequence as the series of hydrophobic residues at the N-terminus of a protein that facilitate its passage through a cell membrane but that are not necessary for activity and that are not found on the mature protein.

5 Preferred truncations on the FGFs are determined relative to mature hFGF-2 (SEQ ID NO: 6) or the analogous bFGF-2 (SEQ ID NO: 2) having 146 residues. As a general rule, the amino acid sequence of an FGF is aligned with FGF-2 to obtain maximum homology. Portions of the FGF that extend beyond the corresponding N-terminus of the aligned FGF-2 are generally
10 suitable for deletion without adverse effect. Likewise, portions of the FGF that extend beyond the C-terminus of the aligned FGF-2 are also capable of being deleted without adverse effect.

Fragments of FGF that are smaller than those described above are also within the scope of the present invention so long as they retain the cell
15 binding portions of FGF and at least one heparin binding segment. In the case of mature FGF-2 having residues 1-146, the two putative cell binding sites occur at residue positions 36-39 and 77-81 thereof. See Yoshida, *et al.*, "Genomic Sequence of *hst*, a Transforming Gene Encoding a Protein Homologous to Fibroblast Growth Factors and the *int-2*-Encoded Protein," PNAS USA,
20 84:7305-7309 (Oct. 1987) at Fig. 3. The two putative heparin binding sites of hFGF-2 occur at residue positions 18-22 and 107-111 thereof. See Yoshida (1987) at Fig. 3. Given the substantial sequence identity between the amino acid sequences for hFGF-2 and bFGF-2, we expect that the cell binding sites for bFGF-2 are also at residue positions 36-39 and 77-81 thereof, and that the
25 heparin binding sites are at residue positions 18-22 and 107-111 thereof. Consistent with the above, it is well known in the art that N-terminal truncations of bFGF-2 do not eliminate its angiogenic activity in cows. In particular, the art discloses several naturally occurring and biologically active fragments of bFGF-2 that have N-terminal truncations relative to the 146 residue mature FGF-2. An

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active and N-truncated FGF-2 fragment having residues 12-146 of mature FGF-2 was found in bovine liver and another active and N-truncated FGF-2 fragment, having residues 16-146 of mature FGF-2 was found in the bovine kidney, adrenal glands and testes. [See U.S. Pat. 5,155,214 at col. 6, lines 41-46, citing to Ueno, *et al.*, Biochem and Biophys Res. Comm., 138:580-588 (1986).] Likewise, other fragments of FGF-2 that are known to have FGF activity are FGF-2 (24-120)-OH and FGF-2 (30-110)-NH₂. [U.S. Pat. 5,155,214 at col. 6, lines 48-52.] These latter fragments retain both of the cell binding portions of FGF-2 (residues 36-39 and 77-81) and one of the heparin binding segments (residues 107-111). Accordingly, the angiogenically active fragments of an FGF typically encompass those terminally truncated fragments of an FGF that when aligned to mature FGF-2 (having residues 1-146) to maximize homology, have at least residues that correspond to residue positions 30-110 of FGF-2; more typically, at least residues that correspond to residues 18-146 of FGF-2.

In addition to the above described FGFs, the angiogenic agent of the unit dose, compositions and method of the present invention also comprises an "angiogenically active . . . mutein" thereof. By the term "angiogenically active . . . mutein," as used in conjunction with an FGF, is meant a mutated form of the naturally occurring FGF that retains at least 65% sequence identity (preferably 75%, more preferably 85%, most preferably 90% sequence identity) and at least 80% of the angiogenic activity of the respective FGF, wherein sequence identity is determined by the Smith-Waterman homology search algorithm (*Meth. Mol. Biol.* 70:173-187 (1997)) as implemented in MSPRCH program (Oxford Molecular) using an affine gap search with the following search parameters: gap open penalty of 12, and gap extension penalty of 1. Preferably, the mutations are "conservative amino acid substitutions" using L-amino acids, wherein one amino acid is replaced by another biologically similar amino acid. As previously noted, conservative amino acid substitutions are those that preserve the general charge, hydrophobicity/hydrophilicity, and/or steric bulk of

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the amino acid being substituted. Examples of conservative substitutions are those between the following groups: Gly/Ala, Val/Ile/Leu, Lys/Arg, Asn/Gln, Glu/Asp, Ser/Cys/Thr, and Phe/Trp/Tyr. In the case of FGF-2, an example of such a conservative amino acid substitution includes the substitution of serine for
5 one or both of the cysteines at residue positions which are not involved in disulfide formation, such as residues 87 and 92 in mature FGF-2 (having residues 1-146). Preferably, substitutions are introduced at the N-terminus, which is not associated with angiogenic activity. However, as discussed above, conservative substitutions are suitable for introduction throughout the molecule.

10 One skilled in the art, using art known techniques, is able to make one or more point mutations in the DNA encoding any of the FGFs to obtain expression of an FGF polypeptide mutein (or fragment mutein) having angiogenic activity for use within the unit dose, compositions and method of the present invention. To prepare an angiogenically active mutein of an FGF, one
15 uses standard techniques for site directed mutagenesis, as known in the art and/or as taught in Gilman, *et al.*, Gene, 8:81 (1979) or Roberts, *et al.*, Nature, 328:731 (1987), to introduce one or more point mutations into the cDNA that encodes the FGF.

Thus, the pharmaceutical composition of the present invention
20 comprises an angiogenically effective amount of an angiogenic agent, in a pharmaceutically acceptable carrier, the angiogenically effective amount being in the range from about 5 ng to less than about 135,000 ng, the angiogenic agent being platelet derived growth factor (PDGF), vascular endothelial growth factor-A (VEGF-A), VEGF-D, fibroblast growth factor (FGF), or an angiogenically
25 active fragment or mutein thereof. In a preferred embodiment, the angiogenic agent of the pharmaceutical composition is human VEGF-A, human VEGF-D, FGF or an angiogenically active fragment or mutein thereof. More preferably, the angiogenic agent of the pharmaceutical composition is an FGF, such as FGF-1, FGF-2 or FGF-5, or an angiogenically active fragment or mutein thereof.

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Most preferably, the angiogenic agent of the pharmaceutical composition is an FGF-2, or an angiogenically active fragment or mutein thereof.

The unit dose pharmaceutical composition of the present invention contains as its second recited component a "pharmaceutically acceptable carrier."

5 By the term "pharmaceutically acceptable carrier" as used herein is meant any of the carriers or diluents that are well-known in the art for the stabilization and/or administration of a proteinaceous medicament that does not itself induce the production of antibodies harmful to the patient receiving the composition, and which may be administered without undue toxicity. The choice of the
10 pharmaceutically acceptable carrier and its subsequent processing enables the unit dose composition of the present invention to be provided to the treating physician in either liquid or solid form. However, the unit dose composition of the present invention is converted to liquid form before it is administered to the patient by injection into the myocardium.

15 When the unit dose pharmaceutical composition is in liquid form, the pharmaceutically acceptable carrier comprises a stable carrier or diluent suitable for intravenous ("IV") or intracoronary ("IC") injection or infusion. Suitable carriers or diluents for injectable or infusible solutions are nontoxic to a human recipient at the dosages and concentrations employed, and include sterile
20 water, sugar solutions, saline solutions, protein solutions or combinations thereof.

Typically, the pharmaceutically acceptable carrier includes a buffer and one or more stabilizers, reducing agents, anti-oxidants and/or anti-oxidant chelating agents. The use of buffers, stabilizers, reducing agents, anti-
25 oxidants and chelating agents in the preparation of protein based compositions, particularly pharmaceutical compositions, is well-known in the art. See, Wang *et al.*, "Review of Excipients and pHs for Parenteral Products Used in the United States," *J. Parent. Drug Assn.*, 34(6):452-462 (1980); Wang *et al.*, "Parenteral Formulations of Proteins and Peptides: Stability and Stabilizers," *J. Parent. Sci.*

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and Tech., 42:S4-S26 (Supplement 1988); Lachman, *et al.*, "Antioxidants and Chelating Agents as Stabilizers in Liquid Dosage Forms-Part 1," *Drug and Cosmetic Industry*, 102(1): 36-38, 40 and 146-148 (1968); Akers, M.J., "Antioxidants in Pharmaceutical Products," *J. Parent. Sci. and Tech.*, 36(5):222-228 (1988); and Methods in Enzymology, Vol. XXV, Colowick and Kaplan Eds., "Reduction of Disulfide Bonds in Proteins with Dithiothreitol," by Konigsberg, pages 185-188. Suitable buffers include acetate, adipate, benzoate, citrate, lactate, maleate, phosphate, tartarate and the salts of various amino acids. See Wang (1980) at page 455. Suitable stabilizers include carbohydrates such as threose or glycerol. Suitable reducing agents, which maintain the reduction of reduced cysteines, include dithiothreitol (DTT also known as Cleland's reagent) or dithioerythritol at 0.01% to 0.1% wt/wt; acetylcysteine or cysteine at 0.1% to 0.5% (pH 2-3); and thioglycerol at 0.1% to 0.5% (pH 3.5 to 7.0) and glutathione. See Akers (1988) at pages 225 to 226. Suitable antioxidants include sodium bisulfite, sodium sulfite, sodium metabisulfite, sodium thiosulfate, sodium formaldehyde sulfoxylate, and ascorbic acid. See Akers (1988) at pages 225. Suitable chelating agents, which chelate trace metals to prevent the trace metal catalyzed oxidation of reduced cysteines, include citrate, tartarate, ethylenediaminetetraacetic acid (EDTA) in its disodium, tetrasodium, and calcium disodium salts, and diethylenetriamine pentaacetic acid (DTPA). See e.g., Wang (1980) at pages 457-458 and 460-461, and Akers (1988) at pages 224-227. Suitable sugars include glycerol, threose, glucose, galactose and mannitol, sorbitol. A suitable protein is human serum albumin.

In liquid form, a typical unit dose pharmaceutical composition of the present invention comprises from about 5 ng to less than 135,000 ng of an angiogenic agent dissolved in from 0.1 ml to 10 ml of a pharmaceutically acceptable carrier. Because the pharmaceutical compositions of the present invention is administered via a cardiac catheter or other injection device, which has dead space, it is convenient to formulate the vial containing the

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pharmaceutical composition so that it contains more of the pharmaceutical composition than is to be administered to the patient. For example, when the dose of the angiogenic agent to be administered is 45 ng, the vial is formulated to contain 60-75 ng of angiogenic agent with the excess solution suitable for filling the dead space in the delivery equipment. In an alternative embodiment that does not allow for dead space, the pharmaceutical composition is loaded in the cardiac catheter in front of a pharmaceutically acceptable buffer, diluent or carrier, which is then used to deliver the appropriate amount of the one or more dosages to the one or more sites in the myocardium that are in need of angiogenesis. As discussed above, the pharmaceutically acceptable carrier for the above described pharmaceutical compositions comprises a buffer and one or more stabilizers, reducing agents, anti-oxidants and/or anti-oxidant chelating agents.

When the angiogenic agent is an FGF and the pharmaceutically acceptable carrier is a liquid carrier, a typical pharmaceutical composition comprises about 5 to about 135,000 ng/ml, more typically 5 to 67,500 ng/ml, of an FGF or an angiogenically fragment or mutein thereof, 10 mM thioglycerol, 135 mM NaCl, 10 mM sodium citrate, and 1 mM EDTA, pH 5. A suitable diluent or flushing agent for the above described composition is any of the above described carriers. Typically, the diluent is the carrier solution itself, which in this example comprises 10 mM thioglycerol, 135 mM NaCl, 10 mM sodium citrate and 1 mM EDTA, pH 5.

When provided in liquid form, the unit dose pharmaceutical compositions of the present invention become unstable when stored for extended periods of time. To maximize stability and shelf life, the unit dose pharmaceutical compositions of the present invention should be stored frozen at -60°C. When thawed, the solution is stable for 6 months at refrigerated conditions. A typical vial of the unit dose pharmaceutical composition of the present invention would comprise about 1.0 to 100 ml (more typically, about 1.0 to 25 ml; most typically, about 1.0 to 10 ml) of the above described

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pharmaceutically acceptable carrier containing therein from about 5 ng to less than 135,000 ng of an angiogenic agent or an angiogenically fragment or mutein thereof.

In another embodiment, the unit dose pharmaceutical composition of the present invention is provided in lyophilized (freeze-dried) form. In lyophilized form, the unit dose pharmaceutical composition would be capable of being stored at refrigerated temperatures for substantially longer than 6 months without loss of therapeutic effectiveness. Lyophilization is accomplished by the rapid freeze drying under reduced pressure of a solution comprising an effective amount of the angiogenic agent dissolved in a pharmaceutically acceptable carrier. Lyophilizers, which perform the above described lyophilization, are commercially available and readily operable by those skilled in the art. Typically, a plurality of vials, each containing therein a pharmaceutical composition (containing one or more doses) or a unit dose composition of the present invention are placed in a lyophilizer in batch and subjected to cooling and reduced pressure until all liquid carrier is removed. Prior to administration to a patient, the lyophilized product is reconstituted to a known concentration, preferably in its own vial, with an appropriate sterile aqueous diluent, typically 0.9% (or less) sterile saline solution, or some other pharmaceutically acceptable acceptable carrier. Depending upon the need for angiogenesis as assessed by the treating physician, a unit dose comprising from 5 ng to less than 135,000 ng, typically from about 5 ng to about 67,500 ng, of an angiogenic agent are administered as a single injection or as a series of injections, typically from 2 to 40 injections, into the ischemic myocardium in need of angiogenesis.

In its third aspect, the present invention is directed to a method for inducing angiogenesis (or increasing vascular perfusion, or increasing vascular density or increasing regional myocardial function as measured by DSE) in a heart of a patient, comprising administering an effective amount of an angiogenic agent directly into the myocardium of said patient in one or more areas in need

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of angiogenesis, the effective amount of angiogenic agent being from about 5 ng to less than 135,000 ng of said angiogenic agent. Typically, the effective amount of angiogenic agent is from 5 ng to 67,500 ng of said angiogenic agent. Preferably, the patient is a human patient. More preferably, the human patient
5 has symptoms of coronary artery disease (CAD) or a myocardial infarction (MI). The terms "vascular perfusion" and "vascular density," as referenced above, are objective measures of angiogenesis. Increases in "vascular perfusion" and "vascular density" in response to administering an angiogenic agent according to the method of the present invention are shown in Figures 4 and 6-8 herein.
10 Increases in regional cardiac function produced by administering unit doses of an angiogenic agent in accordance with the method of the present invention are shown in Figures 5 and 11.

In the above described method, the angiogenic agent is a member selected from the group PDGF, VEGF-A, VEGF-D, TGF- β 1, FGF, or an
15 angiogenically active mutein or fragment thereof. Preferably, the angiogenic agent is VEGF-A, VEGF-D or an FGF or an angiogenically active fragment or mutein thereof. More preferably, the angiogenic agent is an FGF; such as FGF-1, FGF-2 or FGF-5, or an angiogenically active fragment or mutein thereof. Most preferably, the angiogenic agent is FGF-2, or an angiogenically active
20 fragment or mutein thereof.

In the above-described method, the angiogenic agent is delivered to the myocardium of a patient in need of angiogenesis using any one of the art known techniques for myocardium drug delivery. The need of a patient for angiogenesis is evaluated by the treating physician using conventional evaluation
25 techniques such as coronary angiography, MRI and the like. In its simplest embodiment, a needle attached to a drug delivery device, such as a syringe, is stereotactically directed from outside the body through the chest cavity and the pericardium to an area of the myocardium in need of angiogenesis for delivery therein of an effective amount of an angiogenic agent. Once a dosage has been

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delivered to the myocardium, the needle is withdrawn or repositioned to one or more sites on the myocardium for delivery of the angiogenic agent. Regardless of the number of injection sites in the myocardium (typically, 2-40), the total amount of angiogenic agent that is delivered is within the range of about 5 ng to less than 135,000 ng, more typically, from 5 ng to 67,500 ng. Because the myocardium contracts after delivery of the angiogenic agent, it is believed that some small amount of the dose of angiogenic agent would be forced back out of the myocardium, via the needle hole, and into the pericardial space, where momentarily, it would provide a localized concentration at the area of need, and subsequently upon mixing in the pericardial fluid, it would continue to bathe the myocardium in angiogenic agent for a prolonged period of time. These effects would only serve to enhance the effect of the IMc dose of the angiogenic agent of the present invention. Thus, in another aspect, the present invention is directed to a method for inducing angiogenesis in the heart of a patient, comprising administering a unit dose of angiogenic agent directly into the myocardium of a patient in need of angiogenesis and allowing a residual amount of said angiogenic agent to enter into the pericardial space surrounding said myocardium.

In another embodiment of the method for inducing angiogenesis (or increasing vascular perfusion, or increasing vascular density or increasing regional myocardial function as measured by DSE), the unit dose of angiogenic agent is delivered directly into the myocardium from a device having its proximal end outside the body and its distal end positioned within a coronary vein, a coronary artery or a chamber of the heart. A plurality of devices for delivering medicaments by injection into the myocardium from a coronary vein, coronary artery or from a chamber of the heart are well-known in the art. Examples of such devices include cardiac catheters having a retractable needle at the distal end, which upon being positioned adjacent an area of the myocardium in need of angiogenesis, can project the needle into the myocardium for delivery of a predetermined amount of medicament. In the present method, such a device

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delivers an ultra-low dose of angiogenic agent of the present invention to an area of the myocardium in need of angiogenesis. After delivery of the angiogenic agent, the needle is retracted into the distal end, and the distal end of the device is repositioned adjacent a second area of the myocardium in need of angiogenesis, whereupon the needle is again projected into the myocardium and an ultra-low dose of the angiogenic agent is delivered. This procedure is then repeated as often as needed. The needle of the above-described embodiment is also replaceable by a laser, such as used in laser angioplasty, wherein the laser is used to bore a channel into the area of the myocardium in need of angiogenesis, and an orifice adjacent the laser delivers the ultra-low dose of the angiogenic agent directly into the channel. This latter device is described in WO 98/05307, entitled "Transmural Drug Delivery Method and Apparatus," and in corresponding USSN 08/906,991, filed 08/06/97, and assigned to LocalMed, Palo Alto CA. Similar cardiac catheters suitable for drug delivery are commercially available from manufacturers such as ACS, Guidant, Angion, and LocalMed.

Other devices that are suitable for delivery of a medicament to the myocardium include delivery devices having a series of drug delivery pores positioned on the outer surface of the balloon portion of a conventional balloon cardiac catheter, which upon inflating the balloon, bring the drug delivery pores in direct contact with the vascular epithelium. The medicament is then delivered through the drug delivery pores under pressure which forces the medicament past the epithelium and into the underlying myocardium. Devices of this type are disclosed in U.S. Patent 5,810,767, entitled "Method and Apparatus for Pressurized Intraluminal Drug Delivery" which issued on 09/22/98; and in U.S. Patent 5,713,860, entitled "Intravascular Catheter with Infusion Array" which issued on 02/03/98; and in pending application WO 97/23256, entitled "Localized Intravascular Delivery of Growth Factors for Promotion of Angiogenesis" and corresponding USSN 08/753,224, now pending.

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The above-described cardiac catheters are utilized using standard techniques for cardiac catheter use. Typically, the treating physician inserts the distal end of the catheter into the femoral or subclavian artery of the patient in need of coronary angiogenesis, and while visualizing the catheter, guides the distal end into a coronary artery, vein or chamber of the heart that is proximate to the area of the heart in need of angiogenesis. The distal end of the catheter is positioned adjacent an area of the myocardium in need of angiogenesis and used as described above to deliver an ultra-low dose, *i.e.*, an angiogenically effective amount, of an angiogenic agent. In accordance with the present invention, an angiogenically effective amount of an angiogenic agent comprises from about 5 ng to less than 135,000 ng, typically from 5 ng to 67,500 ng, of the angiogenic agent. Although an angiogenically effective amount of the angiogenic agent is injected into the myocardium with each repositioning of the delivery device, the total amount of angiogenic agent that is injected is less than 135,000 ng (*i.e.*, less than 135 μ g).

In other embodiments of the above-described method, one or more doses of the angiogenic agent are administered to the appropriate areas of myocardium for several days, over a series of alternating days, for weeks or over a series of alternating weeks. However, the total amount of angiogenic agent that is injected in one treatment regime is less than 135,000 ng (*i.e.*, less than 135 μ g).

The diseases most often associated with a need for coronary angiogenesis are coronary artery disease (CAD), *i.e.*, a disease in which one or more coronary arteries in the patient have become partially occluded, and myocardial infarction (MI), *i.e.*, a disease in which a coronary artery has become sufficiently occluded to cause the necrosis of the downstream myocardial tissue that relied on the artery for oxygenated blood. Thus in another aspect, the present invention is also directed to a method for treating a patient for CAD or

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MI, comprising administering an effective amount of an angiogenic agent directly into the myocardium of said patient in one or more areas in need of angiogenesis, the effective amount of angiogenic agent being from about 5 ng to less than about 135,000 ng of said angiogenic agent. Typically, the effective amount of angiogenic agent is from about 5 ng to about 67,500 ng of said angiogenic agent. Preferably, the patient is a human patient.

The active agent in the Applicants' above described pharmaceutical composition, unit dose, or methods is preferably a recombinant FGF or an angiogenically active fragment or mutein thereof. More preferably, the angiogenic agent is FGF-2 or an angiogenically active fragment or mutein thereof.

Clinical efficacy of the ultra-low dose of angiogenic agent of the present invention was established in a series of steps wherein angiogenic agent was administered to animals and humans in decreasingly smaller amounts. The angiogenic agent of these clinical studies was recombinant mature bFGF-2 having 146 residues, as disclosed in U.S. Pat. 4,956,455 (Baird), and referred to hereinafter as rbFGF-2. As preliminary evidence of the clinical efficacy of the ultra-low dosages of angiogenic agents used herein, human patients exhibiting symptoms of severe CAD, who remained symptomatic despite optimal medical management, were administered decreasing dosages of rbFGF-2 by intracoronary infusion via a cardiac catheter. (See Example 3) The doses of FGF-2 administered (and number of patients) were 0.33 $\mu\text{g/kg}$ ($n=4$), 0.65 $\mu\text{g/kg}$ ($n=4$), 2.0 $\mu\text{g/kg}$ ($n=8$), 6.0 $\mu\text{g/kg}$ ($n=4$), 12.0 $\mu\text{g/kg}$ ($n=4$), 24 $\mu\text{g/kg}$ ($n=8$), 36 $\mu\text{g/kg}$ ($n=10$) and 48 $\mu\text{g/kg}$ ($n=10$). Angina frequency and quality of life was assessed by the Seattle Angina Questionnaire (SAQ) at a baseline (before FGF-2 administration) and at about 60 days after FGF-2 administration. Exercise tolerance time (ETT) was assessed by the threadmill test. Rest/exercise nuclear perfusion and gated sestamibi-determined rest ejection fraction (EF), and magnetic resonance imaging (MRI) were assessed at baseline, and at 30 days and

TABLE 2
COMPARISON OF QUALITY OF LIFE BEFORE AND 57 DAYS AFTER IC FGF-2

| SEATTLE ANGINA QUESTIONNAIRE (SAQ) SUBSCALES | BASELINE (PRE FGF-2) MEAN SCORE \pm SD | 57 DAYS POST FGF-2 MEAN SCORE \pm SD | MEAN CHANGE ¹ | p VALUE | n |
|--|---|---|--------------------------|---------|----|
| Exertional Capacity | 55 \pm 23 | 68 \pm 25 | 13* | 0.02 | 28 |
| Angina Frequency | 42 \pm 32 | 66 \pm 28 | 24* | <0.001 | 28 |
| Angina Stability | 46 \pm 26 | 82 \pm 20 | 36* | <0.001 | 27 |
| Disease Perception | 40 \pm 21 | 61 \pm 26 | 19* | <0.001 | 28 |
| Treatment Satisfaction | 74 \pm 24 | 88 \pm 16 | 14* | 0.002 | 28 |

* Significantly different from baseline to fifty-seven days.

¹ A mean change of 8 points or more is considered clinically significant.

TABLE 3

**IMPROVEMENTS IN THE QUALITY OF LIFE AT DAY 57
(POST IC rFGF-2) AT LOWER AND HIGHER DOSES**

| SEATTLE ANGINA QUESTIONNAIRE (SAQ) SUBSCALES | DOSE <2 µg/kg IC rFGF-2 (n=7) | DOSE >2 µg/kg IC rFGF-2 (n=8) | INDEPENDENT T SAMPLES T-TEST |
|---|---|---|---|
| Subscales | Mean Change In Score (Day 57 Score-Screen Score) | Mean Change In Score (Day 57 Score-Screen Score) | |
| Exertional Capacity | 12.30 (23.3) | 15.98 (28.7) | t = -.27 p = .79 |
| Disease Perception | 26.19 (26.9) | 24.47 (21.2) | t = .14 p = .89 |
| Treatment Satisfaction | 22.32 (27.7) | 10.93 (17.3) | t = .97 p = .35 |
| Angina Frequency | 28.57 (27.3) | 13.75 (22.6) | t = 1.15 p = .27 |
| Angina Stability | 58.13 (12.9) | 32.14 (34.5) | t = 1.75 p = .108 |

1. Possible range for each subscale is 0 to 100 with higher scores indicating better quality of life.
2. Standard deviation noted in parentheses.

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TABLE 4
MEAN DATA AND RESULTS AS A FUNCTION OF TIME AND DOSE

| | BASELINE | 30 DAY | 60 DAY |
|-----------------------------|-----------------|---------------|---------------|
| Angina Class | 2.6±0.7 | 1.4±0.9 *** | 1.2±0.8 *** |
| Exercise Time (min.) | 8.5±2.6 | 9.4±1.9 *** | 10.0±2.5 ** |
| LV EF (%) | 47.4±12.3 | 47.4±10.6 | 48.6±11.0 |
| Target Wall Motion (%) | 15.4±10.1 | 23.5±12.0 * | 24.1±10.1 ** |
| Target Wall Thickening (%) | 28.7±14.0 | 34.7±14.1 | 45.9±11.7 ** |
| Delayed Arrival Zone (% LV) | 18.9±8.3 | 7.1±3.6 *** | 1.82±2.4 *** |

* = p<0.05

** = p<0.01

*** = p<0.001 (2-tailed, paired)

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60 days post FGF-2 administration. Other end points that were evaluated included MRI (to objectively measure ejection fraction (EF), normal wall motion (NWM), targeted wall motion (TWM), normal wall thickness (NWT), targeted wall thickness (TWT), ischemic area zone and collateral extent). See Tables 2-4, respectively. The patients exhibited significant clinical improvements to all dosages of the FGF-2 that were administered IC. In particular, Table 3 discloses that the patients receiving the lowest dosages of FGF-2 (less than 2 $\mu\text{g/kg}$) exhibited better results in four of the five criteria assessed than did the patients receiving the higher dosages of FGF-2 (greater than 2 $\mu\text{g/kg}$). The above described method for treating CAD, when assessed by the standard objective criterion employed in the art (*i.e.*, ETT), provided an unexpectedly superior increase of one and a half to two minutes in the treated patient's ETT. This compares exceptionally well when compared to the increase of 30 seconds that is deemed clinically significant for the current mode of treatment, *i.e.*, angioplasty.

A major side effect reported in the art for the angiogenic agents of the present invention is acute hypotension. This is due to the known effect of many of the angiogenic agents as a vasodilator. However, no adverse hypotensive effects were observed following administration, alone or in series, of any of the ultra-low dosages of angiogenic agent within the scope of the present invention.

In testing the angiogenic agents for angiogenic activity *in vivo*, fifty-two (52) human patients diagnosed with CAD, who satisfied the criteria of Example 2 herein, were administered a unit dose of 0.33 $\mu\text{g/kg}$ to 48 $\mu\text{g/kg}$ of the FGF-2 by intracoronary (IC) infusion over about a 20 minute period. In particular, in the 52 patients, a coronary (cardiac) catheter was inserted into an artery (*e.g.*, femoral or subclavian) of the patient in need of treatment and the catheter was pushed forward with visualization, until it was positioned in the appropriate coronary artery of the patient to be treated. Using standard precautions for maintaining a clear line, the angiogenic agent was administered

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by infusing the unit dose substantially continuously over a period of 10 to 30 minutes. The 52 treated patients were then assessed by the Seattle Angina Questionnaire, which provides an assessment based upon a mixed combination of objective and subjective criteria. *See* Table 2. The Seattle Angina Questionnaire is a validated, disease-specific instrument with the following five subscales that are assessed both before and after treatment: 1) "exertional capacity" = limitation of physical activity; 2) "disease perception" = worry about MI; 3) "treatment satisfaction"; 4) "angina frequency" = number of episodes and sublingual nitroglycerin usage; and 5) "angina stability" = number of episodes with most strenuous physical activity. The possible range for each of the five subscales is 0 to 100 with the higher scores indicating a better quality of life. Moreover, a mean change of 8 points or more between the mean baseline scores (before treatment) and the post-treatment scores is recognized as being "clinically significant." Table 2 reports that the 28 patients, who were pretested and then administered a single unit dose of 0.33 $\mu\text{g/kg}$ to 24 $\mu\text{g/kg}$ of rbFGF-2 by IC infusion, exhibited a mean score increase of 13 to 36 points for the five "quality of life" criteria assessed by the "Seattle Angina Questionnaire." *See* Table 2 herein. These 13 to 36 point increases were about 1.6 to 4.5 times greater than the 8 point change which is recognized in the art as being "clinically significant" in alternative modes of treatment. *See* Table 2 herein. Moreover, when the combined results for the first 15 patients of Table 2 were broken down between low dose (less than or equal to 2 $\mu\text{g/kg}$) and high (more than 2 $\mu\text{g/kg}$) doses of rbFGF-2, and assessed by the "Seattle Angina Questionnaire," both doses were found to provide increased scores that ranged from about 12.3 to 58.1 and about 10.9 to 32.1, respectively. *See* Table 3 herein. The increased scores were about 1.4 to 7.2 times greater than the 8 point change which is considered to be "clinically significant" in alternative modes of treatment.

In the same Phase I trial, fifty-two human patients who were diagnosed with CAD and who satisfied the criteria of Example 2 herein, were

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administered IC a single unit dose of 0.33 µg/kg to 48 µg/kg of rbFGF-2. The maximum tolerated dose was defined as 36 µg/kg by severe but transient hypotension that was observed in 2 out of 10 patients at the next higher dose of 48 µg/kg. At one of the sites, the hearts of 23 patients were assessed both before ("baseline") and 30 and 60 days after treatment by magnetic resonance imaging (MRI) for objective signs of improved coronary sufficiency. Among the objective criteria assessed by MRI are the following: 1) left ventricular (LV) ejection fraction (EF); 2) normal wall thickness (NWT); 3) normal wall motion (NWM); 4) collateral extent; 5) ischemic area zone; 6) targeted wall thickness (TWT); 7) targeted wall motion (TWM); and 8) perfusion or delayed arrival zone (%LV). The patients were also assessed for angina, treadmill exercise duration, rest/exercise nuclear perfusion. The results are summarized in Table 4. Table 4 reflects that the baseline angina class decreased from 2.6 to 1.4 and 1.2 at 30 and 60 days, respectively post IC FGF-2. The mean treadmill exercise time increased from a baseline of 8.5 minutes to 9.4 and 10.0 minutes at 30 and 60 days, respectively, post treatment. No significant difference was observed in the left ventricular ejection fraction (LV EF). However, the target wall motion increased significantly, moving from a baseline of 15.4% to 23.5% (day 30) and 24.1% (day 60) post FGF-2 treatment. Likewise the target wall thickening increased significantly from a baseline of 28.7% to 34.7% (day 30) and 45.9% (day 60) post FGF-2 treatment. There was also a significant increase in perfusion, as measured by a decrease in the delayed arrival zone (%LV), with the delayed arrival zone decreasing from a baseline of 18.9% to 7.1% (day 30) and 1.82% (day 60) post FGF-2 treatment. Thus, providing CAD patients with a single IC infusion of an angiogenic agent, such as FGF-2, provided the patients with a significant physical improvement as objectively measured by MRI and other conventional criteria.

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Pharmacokinetics and Metabolism

The kidneys and liver are the major organs for the elimination of the angiogenic agents. In particular, the kidneys have a protein cutoff of about 60 kD and thus retain serum albumin (MW 60 kD). However, all the angiogenic agents of the present invention have a molecular weight less than 40 kD. FGF-2, the angiogenic agent of the present Examples, has a molecular weight of about 16 kD. Accordingly, renal excretion is to be expected. In a radiolabelled biodistribution study of commercially available bFGF-2, both the liver and the kidney were shown to contain high counts of the radiolabelled bFGF-2 at 1 hour after IV or IC injection. In a published study, wherein another recombinant iodinated form of bFGF-2 was given to rats, the liver was identified as the major organ of elimination. Whalen *et al.*, "The Fate of Intravenously Administered bFGF and the Effect of Heparin," *Growth Factors*, 1:157-164 (1989). More particularly, it is known that FGF-2 binds in the general circulation to α_2 -macroglobulin and that this complex is internalized by receptors on the Kupffer cells. Whalen *et al.* (1989) and LaMarre *et al.*, "Cytokine Binding and Clearance Properties of Proteinase-Activated Alpha-2-Macroglobulins," *Lab. Invest.*, 65:3-14 (1991). Labelled FGF-2 fragments were not found in the plasma, but they were found in the urine and corresponded in size to intracellular breakdown products. When FGF-2 was administered in combination with heparin, the renal excretion of FGF-2 was increased. Whalen *et al.* (1989). The FGF-2 molecule, which is cationic when not complexed with heparin, is likely repelled by the cationic heparin sulfate of the glomerular basement membrane. The FGF-2/heparin complex is more neutrally charged, and therefore is more easily filtered and excreted by the kidney.

The pharmacokinetics of FGF-2 were determined after intravenous (IV) and intracoronary (IC) administration in domestic Yorkshire pigs, after IV dosing in Sprague Dawley ("SD") rats, and after IC administration in CAD human patients. In all species, the rFGF-2 plasma concentrations after IV and/or

TABLE 5
PHARMACOKINETICS (PK) AND PHARMACODYNAMICS OF rFGF-2 IN PIGS

| ANIMALS | DOSING REGIMEN | PK PARAMETERS | RESULTS |
|--|--|---|---|
| Domestic Yorkshire Pigs under general anesthesia (n = 13; 30 ± 5 kg) | 2-20 µg/kg IV bolus 2-20 µg/kg IC bolus 20 µg/kg by 10 min IC infusion 70 U/kg heparin ~ 15 min before rFGF-2 | CL = 702 ± 311 mL/hr/kg T _{1/2} = 2.8 ± 0.8 hr. | <ul style="list-style-type: none"> Systemic PK identical between IV and IC route Fast distribution phase Dose-linearity Transient decreases of MAP No gender difference in PK Biphasic decline of plasma rFGF-2 Dose-linearity V_r equal to ~ plasma volume V_{ss} equal to ~ 10-fold plasma volume Magnitude and duration of MAP decrease correlated with rFGF-2 dose and peak plasma level |
| Domestic Yorkshire Pigs under general anesthesia (n = 17; 26 ± 4 kg) | 0.65 - 6.5 µg/kg by 5-min IC infusion 70 U/kg heparin ~ 15 min before rFGF-2 | CL = 609 ± 350 mL/hr/kg T _{1/2} = ~ 3.5 hr <u>3-Comp. Model:</u> T _{1/2α} = 1.5 min T _{1/2β} = 17 min T _{1/2γ} = 6.6 hr CL = 580 mL/hr/kg V ₁ = 55 mL/kg V _{ss} = 523 mL/kg | <ul style="list-style-type: none"> The rFGF-2 distribution phase was less steep, the volume of distribution smaller, and clearance was slower with heparin-pretreatment Binding of rFGF-2 to circulating heparin appears to decrease biodistribution and elimination Both volume and clearance of rFGF-2 increased at later doses (potential receptor upregulation), but more so in the absence of heparin Magnitude and duration of MAP decreases were similar with or without heparin |
| Domestic Yorkshire Pigs under general anesthesia (n = 6; 25 ± 5 kg) | 6.5 µg/kg weekly by 5 min IV infusion for 6 weeks 70 U/kg heparin 10 min before rFGF-2 (n = 3), or rFGF-2 alone (n = 3) | Without Heparin (Doses 1-6): T _{1/2} = 2-6 hr CL = 777-2749 mL/hr/kg V _{ss} = 871-12,500 mL/kg With Heparin (Doses 1-6): T _{1/2} = 2-3 hr CL = 235-347 mL/hr/kg V _{ss} = 71-153 mL/kg | <ul style="list-style-type: none"> The rFGF-2 distribution phase was less steep, the volume of distribution smaller, and clearance was slower with heparin-pretreatment Binding of rFGF-2 to circulating heparin appears to decrease biodistribution and elimination Both volume and clearance of rFGF-2 increased at later doses (potential receptor upregulation), but more so in the absence of heparin Magnitude and duration of MAP decreases were similar with or without heparin |

TABLE 6
PHARMACOKINETICS (PK) OF rFGF-2 IN RATS

| ANIMALS | DOSING REGIMEN | PK PARAMETERS | RESULTS | | | | | | | | | | | | | | | | | | |
|--|--|---|--|----------------|-------------------|------|------|------|------|------|-----|-----|-----|-----|-----|------|-----|------|------|------|---|
| Conscious SD rats (n = 18; 322 ± 93g) | 3-100 µg/kg bolus IV injection | $T_{1/2} = 1.1 \pm 0.51$ hr CL = 4480 ± 2700 ml/hr/kg $V_{ss} = 1924 \pm 1254$ ml/kg | <ul style="list-style-type: none">Fast distribution phaseApparent dose-linearity | | | | | | | | | | | | | | | | | | |
| Conscious SD rats (n - 54; 149 ± 12 g) | 30-300 µg/kg weekly by bolus IV injection for 6 weeks No heparin pretreatment | $T_{1/2} = 1.4 \pm 0.13$ hr CL = 1691 ± 169 ml/hr/kg $V_{ss} = 1942 \pm 358$ ml/kg | <ul style="list-style-type: none">Time-invariant PK; plasma profiles, PK parameters and AUCs were similar over timeDose linearity | | | | | | | | | | | | | | | | | | |
| Conscious SD rats (27 males; 381 ± 48 g; 20 females; 268 ± 22 g) | 30 µg/kg bolus IV injection No heparin <u>40 U/kg IV Heparin:</u> at ~ 15 min just prior to rFGF-2 at +15 min at +3 hr. | Time-Averaged PK Parameters: <table><tr><th>$T_{1/2}$ hr.</th><th>CL ml/hr/kg</th><th>V_{ss} ml/kg</th></tr><tr><td>0.75</td><td>4332</td><td>2389</td></tr><tr><td>0.91</td><td>1728</td><td>844</td></tr><tr><td>1.3</td><td>516</td><td>147</td></tr><tr><td>1.2</td><td>1158</td><td>626</td></tr><tr><td>0.93</td><td>1338</td><td>1351</td></tr></table> | $T_{1/2}$ hr. | CL ml/hr/kg | V_{ss} ml/kg | 0.75 | 4332 | 2389 | 0.91 | 1728 | 844 | 1.3 | 516 | 147 | 1.2 | 1158 | 626 | 0.93 | 1338 | 1351 | <ul style="list-style-type: none">In all cases, heparin increased the rFGF-2 plasma levelsBoth volume of distribution and clearance of rFGF-2 were smaller with heparinGreatest changes on CL and V_{ss} were observed when heparin was administered immediately prior to rFGF-2 |
| $T_{1/2}$ hr. | CL ml/hr/kg | V_{ss} ml/kg | | | | | | | | | | | | | | | | | | | |
| 0.75 | 4332 | 2389 | | | | | | | | | | | | | | | | | | | |
| 0.91 | 1728 | 844 | | | | | | | | | | | | | | | | | | | |
| 1.3 | 516 | 147 | | | | | | | | | | | | | | | | | | | |
| 1.2 | 1158 | 626 | | | | | | | | | | | | | | | | | | | |
| 0.93 | 1338 | 1351 | | | | | | | | | | | | | | | | | | | |

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IC injection followed a biexponential curve with an initial steep slope and considerable decrease over several log scales (the distribution phase) during the first hour, followed by a more moderate decline (the elimination phase). Fig. 1 provides a plasma concentration versus time curve showing these phases in humans after IC administration of recombinant mature bFGF-2 (146 residues) as a function of the following doses: 0.33 µg/kg, 0.65 µg/kg, 2 µg/kg, 6µg/kg, 12 µg/kg and 24 µg/kg of lean body mass (LBM). The plasma concentrations of bFGF-2 were determined by a commercially available ELISA (R&D Systems, Minneapolis MN) that was marketed for analysis of human FGF-2. The ELISA assay for hFGF-2 showed 100% cross-reactivity with the recombinant mature bFGF-2. Other members of the FGF family, as well as many other cytokines, were not detected by this assay. Also, heparin does not interfere with the assay.

The design of these pharmacokinetic studies, pharmacokinetic parameters, and conclusions are listed in Tables 5 and 6 for studies in pigs and rats, respectively. The reader is referred to these tables for the specific details. However, among the points to be noted are that the half-life ($T_{1/2}$) was 2.8 ± 0.8 to 3.5 hours following a single IC infusion for the single component model for animals having a clearance (CL) of 702 ± 311 to 609 ± 350 ml/hr/kg. The results of this study show that the pharmacokinetics of the rFGF-2 were substantially identical regardless of whether the animals were dosed via the IC or IV routes. See Table 5. Among the other pharmacokinetic results to be taken from Tables 5 and 6 of these studies is that there is a fast distribution phase followed by a more moderate elimination phase, and dose linearity as reported in Fig. 1 for humans. Also, there were no gender differences. Further, the three compartment model was analyzed for pigs receiving 70 U/kg of heparin approximately ("~") 15 minutes before receiving 0.65-6.5 µg/kg by 5-10 minute IC infusion. The half lives ($T_{1/2\alpha}$, $T_{1/2\beta}$ and $T_{1/2\gamma}$) for the three compartments were 1.5 minutes, 17 minutes, and 6.6 hours, respectively. In these animals, the initial volume (" V_1 ") was approximately the plasma volume, and the steady state

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volume ("V_{ss}") was approximately 10-fold the plasma volume. See Table 5. In pigs, the binding of rFGF-2 to circulating heparin appears to decrease biodistribution and elimination. Likewise, in rats, both the volume of distribution and the clearance of rFGF-2 were smaller when heparin was administered. See Table 6. Further, the greatest and most favorable changes on clearance of FGF-2 were found when heparin was administered within ± 15 minutes, preferably immediately prior to rFGF-2 IC infusion. See Table 6.

The pharmacokinetics of the FGF-2 was studied in humans, diagnosed with CAD despite optimal medical management, in a Phase I clinical study supporting this filing. The doses of rbFGF-2 employed in that Phase I study were 0.33 $\mu\text{g/kg}$, 0.65 $\mu\text{g/kg}$, 2 $\mu\text{g/kg}$, 6 $\mu\text{g/kg}$, 12 $\mu\text{g/kg}$, and 24 $\mu\text{g/kg}$ of lean body mass (LBM), and all doses were administered by a 20 minute IC infusion (10 minutes into each of two patent coronary vessels) after pretreating the patient with 40 U/kg heparin which was administered IV or IC 1-95 minutes before rbFGF-2 infusion. Figures 1-3 herein summarize the data underlying those results. In particular, Figure 1 is a plot of the mean FGF-2 plasma concentration versus time (hours) for the six different doses of rbFGF-2 administered by IC infusion as described above over a 20 minute period. Figure 1 shows dose linearity and a biphasic plasma level decline, *i.e.*, a fast distribution phase during the first hour, followed by an elimination phase with $T_{1/2}$ of 1.9 ± 2.2 hours. The dose linearity is more readily seen in Fig. 2 which is a plot of the individual patient FGF-2 area under the curve (AUC) in $\text{pg}\cdot\text{hr/ml}$ for Fig. 1 for each of the six doses of rbFGF-2 administered. Fig. 3 is a plot individual human patient FGF-2 dose normalized AUCs versus time of heparin dose in "minutes prior to rFGF-2 infusion" and shows the influence of timing of heparin administration on FGF-2 AUC. Figure 3 shows that the greatest AUC/dose was achieved when an effective amount of a glycosoaminoglycan, such as heparin, was preadministered within 30 minutes or less of IC rFGF-2 infusion, more preferably within 20 minutes or less of IC rFGF-2 infusion.

TABLE 7
PHARMACOKINETICS OF rFGF-2 IN HUMANS

| SUBJECTS | DOSING REGIMEN | PI PARAMETERS | RESULTS |
|-------------------|--|---|--|
| Patients with CAD | 0.33 - 24 µg/kg LBM* by 20-min IC injection (10 min in left main coronary artery + 10 min in right main coronary artery) | Preliminary PK data from 0.33-24 µg/kg doses (n=32) $T_{1/2} = 1.9 \pm 2.2$ hr $CL = 264 \pm 150$ ml/hr/kg $V_{ss} = 184 \pm 74$ ml/kg | <ul style="list-style-type: none"> Biphasic plasma level decline: fast distribution phase during 1 hr; followed by elimination phase with $T_{1/2}$ approximately (~) 2 hr <ul style="list-style-type: none"> Dose linearity Greater rFGF-2 exposure (as measured by the AUC) was found when heparin pretreatment was given closer to the start of the rFGF-2 infusion, preferably within 20 minutes. |
| | 0.33 µg/kg, n=4 0.65 µg/kg, n=4 2 µg/kg, n=8 6 µg/kg, n=4 12 µg/kg, n=4 24 µg/kg, n=8 36 µg/kg, n=10 48 µg/kg, n=10 | | |
| | 40 U/kg heparin pretreatment, 1-95 min before rFGF-2 infusion | | |

* LBM = lean body mass.

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Typically, an effective amount of a glycosoaminoglycan is 40-70 U/kg heparin. These pharmacokinetic results are summarized in Table 7 herein.

The rFGF-2 distribution phase was less steep with heparin, the volume of distribution smaller, and the clearance slower, as compared to rFGF-2 without heparin. It appears that the complex of rFGF-2 with circulating heparin decreases the biodistribution and elimination of rFGF-2. Although the binding of FGF-2 to heparin-like structures is strong (dissociation constant $\sim 2 \times 10^{-9}$ M), the binding of FGF-2 to the FGF-2 receptor is approximately two orders of magnitude higher (dissociation constant $\sim 2 \times 10^{-11}$ M). Moscatelli *et al.*, (1991). In addition, the complexation of the rFGF-2 with a glycosoaminoglycan, such as a heparin, might increase signal transduction and mitogenesis, and/or protect the rFGF-2 from enzymatic degradation.

Using a validated and art-accepted model of hibernating myocardium, ten (10) miniswine underwent 90% left circumflex (LCx) coronary stenosis. For validation, *see e.g.*, Yanagisawa-Miwa, *et al.*, "Salvage of Infarcted Myocardium by Angiogenic Action of Basic Fibroblast Growth Factor," *Science*, 257:1401-1403 (1992); Banai *et al.*, "Angiogenic-Induced Enhancement of Collateral Blood Flow to Ischemic Myocardium by Vascular Endothelial Growth Factor in Dogs," *Circulation*, 89(5):2183-2189 (May 1994); and Unger, *et al.*, "Basic fibroblast growth factor enhances myocardial collateral flow in a canine model," *Am. J. Physiol.*, 266 (Heart Circ. Physiol. 35): H1588-H1595 (1994). One month later, a baseline positron emission tomography (PET) and dobutamine stress echocardiography were performed on the animals. The animals were then randomized and treated with 30 injections in the LCx region of either 100 μ l carrier (n=5) or rbFGF-2 (45 ng/injection; total dose 1,350 ng) (n=5) in carrier. In the above injections, the carrier was a sterile aqueous solution comprising 10 mM thioglycerol, 135 mM NaCl, 10 mM sodium citrate and 1 mM EDTA, pH 5.

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In the five animals that received the injections of FGF-2 in their myocardium, the LCx region myocardial blood flow (MBF) at rest, as measured by PET, increased from $61.3 \pm 4.4\%$ of non-ischemic septal values at baseline (day 0) to $82.8 \pm 3.1\%$ at 6 months postoperatively ($p < 0.001$). The wall motion score index (WMSI) at rest for the LCx region was 2.4 ± 0.2 at baseline and improved to 2.2 ± 0.2 ($p = 0.08$ vs baseline) at six months. Likewise, WMSI for the LCx region at peak stress was 2.2 ± 0.4 at baseline (day 0) and decreased to 1.8 ± 0.3 ($p = 0.05$) at six months. There was no significant change in MBF or in the resting or stress WMSI in the vehicle treated animals at any time point. Western blot analysis of tissue samples taken from the treated chronically ischemic regions revealed significantly greater upregulation of VEGF in the chronically ischemic regions treated with rFGF-2 versus that observed in the chronically ischemic regions treated with vehicle ($p < 0.05$).

Thus, in this validated model of a patient in need of angiogenesis, the direct intramyocardial injection of ultra-low dose of angiogenic agent, such as FGF-2, improved MBF and contractile reserve in the treated regions of the myocardium. Accordingly, an ultra-low dose of angiogenic agent represents a viable method for inducing angiogenesis and a viable alternative therapy for the treatment of CAD and/or MI.

Examples 1-6, which follow, provide more details on the selection criterion and on the Phase I clinical trial for IC FGF-2 that gave rise to the preliminary data discussed above. Example 7 discloses data on the ultra-low dose pharmaceutical composition and method of the present invention and its use to induce coronary angiogenesis in patients (miniswine) in a model system for coronary artery disease and myocardial infarction.

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EXAMPLE 1**“Medium Concentration Unit Dose of rFGF-2 Employed in the Phase I Clinical Trial”**

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The recombinant mature FGF-2 of U.S. Pat. 5,155,214 (Baird) was formulated as a medium concentration (0.2 µg/kg to about 36 µg/kg) unit dose and pharmaceutical composition and administered to rats, pigs and ultimately to humans in the Phase I clinical trial referenced herein. The various formulations are described below.

10

The Medium Concentration rFGF-2 Unit Dose was provided as a liquid in 3 cc type I glass vials with a laminated gray butyl rubber stopper and red flip-off overseal. The rFGF-2 unit dose contained 1.2 ml of 0.3 mg/ml rFGF-2 in 10 mM sodium citrate, 10 mM monothioglycerol, 1 mM disodium dihydrate EDTA (molecular weight 372.2), 135 mM sodium chloride, pH 5.0. Thus, in absolute terms, each vial (and unit dose) contained 0.36 mg rFGF-2. The vials containing the unit dose in liquid form were stored at 2° to 8°C.

15

The rFGF diluent was supplied in 5 cc type I glass vials with a laminated gray butyl rubber stopper and red flip-off overseal. The rFGF-2 diluent contains 10 mM sodium citrate, 10 mM monothioglycerol, 135 mM sodium chloride, pH 5.0. Each vial contained 5.2 ml of rFGF-2 diluent solution that was stored at 2° to 8°C.

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The Medium Concentration rFGF-2 Pharmaceutical Composition that was infused was prepared by diluting the rFGF-2 unit dose with the rFGF diluent such that the infusion volume is 10 ml. In order to keep the EDTA concentration below the limit of 100 µg/ml, the total infusion volume was increased to 20ml when proportionately higher absolute amounts of FGF-2 were administered to patients with high body weights.

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EXAMPLE 2

**“Selection Criteria For Patients With Coronary Artery Disease
For Treatment With rFGF-2”**

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The following selection criteria were applied to Phase I patients with coronary artery disease, whose activities were limited by coronary ischemia despite optimal medical management, and who were not candidates for approved revascularization therapies:

10

Inclusion criteria: Subject is eligible if:

- Male or female, greater than or equal to 18 years of age
- Diagnosis of coronary artery disease (CAD)
- 15 • Suboptimal candidates for approved revascularization procedures, *e.g.*, angioplasty, stents, coronary artery bypass graft (CABG) (or refuses those interventions)
 - Able to exercise at least three minutes using a modified Bruce protocol and limited by coronary ischemia
 - 20 • Inducible and reversible defect of at least 20% myocardium on pharmacologically stressed thallium sestamibi scan
 - CBC, platelets, serum chemistry within clinically acceptable range for required cardiac catheterization
 - Normal INR, or if anticoagulated with Coumadin, INR <2.0
 - 25 • Willing and able to give written informed consent to participate in this study, including all required study procedures and follow-up visits

Exclusion criteria: Subject is not eligible if:

30

- Malignancy: any history of malignancy within past ten years, with the exception of curatively treated basal cell carcinoma.
- Ocular conditions: proliferative retinopathy, severe non-proliferative retinopathy, retinal vein occlusion, Eales' disease, or macular

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edema or funduscopy by ophthalmologist: history of intraocular surgery within six months

- Renal function: creatinine clearance below normal range adjusted for age; protein >250 mg or microalbumin >30 mg/24 h urine
- 5 • Class IV heart failure (New York Heart Association)
- Ejection fraction < 20% by echocardiogram, thallium scan, MRI or gated pooled blood scan (MUGA)
- Hemodynamically relevant arrhythmias (*e.g.*, ventricular fibrillation, sustained ventricular tachycardia)
- 10 • Severe valvular stenosis (aortic area <1.0 cm², mitral area <1.2 cm²), or severe valvular insufficiency
- Marked increase in angina or unstable angina within three weeks
- History of myocardial infarction (MI) within three months
- History of transient ischemic attack (TIA) or stroke within six
- 15 months
- History of CABG, angioplasty or stent within six months
- History of treatment with transmyocardial laser revascularization, rFGF-2, or vascular endothelial growth factor (VEGF) within six months
- Females of child-bearing potential or nursing mothers
- 20 • Any pathological fibrosis, *e.g.*, pulmonary fibrosis, scleroderma
- Known vascular malformation, *e.g.*, AV malformation, hemangiomas
- Coexistence of any disease which might interfere with assessment of symptoms of CAD, *e.g.*, pericarditis, costochondritis, esophagitis, systemic
- 25 vasculitis, sickle cell disease
- Coexistence of any disease which limits performance of modified Bruce protocol exercise stress test, *e.g.*, paralysis or amputation of a lower extremity, severe arthritis or lower extremities, severe chronic obstructive pulmonary disease (COPD)

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- Participation in clinical trials of investigational agents, devices or procedures within thirty days (or scheduled within sixty days of study drug)
 - Known hypersensitivity to rFGF-2 or related compounds
 - Any condition which makes the subject unsuitable for participation
- 5 in this study in the opinion of the investigator, *e.g.*, psychosis, severe mental retardation, inability to communicate with study personnel, drug or alcohol abuse

EXAMPLE 3

10 **“Phase I Clinical Study on Recombinant FGF-2
Administered IC to Humans”**

Recombinant FGF-2 of U.S. Pat. 5,155,214 was administered to 52 human patients with severe CAD, who remained symptomatic despite optimal medical management and who refused or were suboptimal candidates for surgical or percutaneous revascularization, in a Phase I open label, single administration, dose escalation, two-site trial. The drug was administered as a single 20 minute infusion divided between two major sources of coronary blood supply (IC), using standard techniques for positioning a catheter into the patient's coronary artery (such as already employed in angioplasty). The doses ($\mu\text{g/kg}$) of rFGF-2 administered were 0.33 (n=4), 0.65 (n=4), 2.0 (n=8), 6.0 (n=4), 12.0 (n=4), 24 (n=8), 36 (n=10) and 48 (n=10). Angina frequency and quality of life was assessed by the Seattle Angina Questionnaire (SAQ) at a baseline (before rFGF-2 administration) and at about 60 days after rFGF-2 administration. Exercise tolerance time (ETT) was assessed by the treadmill test. Rest/exercise nuclear perfusion and gated sestamibi-determined rest ejection fraction (EF), and magnetic resonance imaging (MRI) were assessed at baseline, and at 30 days and 60 days post FGF-2 administration. Other end points that were evaluated included MRI (to objectively measure ejection fraction (EF), normal wall motion (NWM), targeted wall motion (TWM), normal wall thickness (NWT), targeted

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wall thickness (TWT), ischemic area zone and collateral extent). See Tables 2-4, respectively.

The preliminary safety results indicate that serious events were not dose related. Thus far, of the eight dosage groups, there were three deaths in the lowest dosage groups, *i.e.*, at 0.65 µg/kg (Day 23), at 2.0 µg/kg (Day 57) and at 6.0 µg/kg (Day 63). There were six hospitalizations for acute myocardial infarction (MI) in three patients, *i.e.*, one patient from each of groups 1 (0.33 µg/kg), 3 (2.0 µg/kg) and 4 (6.0 µg/kg). One of the three patients accounted for four of the six hospitalizations for acute MI. There was also one large B cell lymphoma that was diagnosed three weeks after dosing in a patient in group 4. The patient died at two months post dosing. Acute hypotension, seen at higher doses during or just subsequent to infusion, was managed by administration of fluids without need for a vasopressor. The maximum tolerated dose (MTD) in humans was defined as 36 µg/kg. (In contrast, in pigs, the MTD was 6.5 µg/ml.) Doses of rFGF-2 up to 48 µg/kg IC were managed in patients with aggressive fluid management, but were not tolerated due to acute and/or orthostatic hypotension in two out of ten patients. The half-life of the IC infused rFGF-2 was about one hour.

The human patients in this study that were treated with a single IC infusion of rFGF-2 exhibited a mean increase in ETT of 1.5 to 2 minutes. This is especially significant because an increase in ETT of >30 seconds is considered significant and a benchmark for evaluating alternative therapies, such as angioplasty. The angina frequency and quality of life, as measured by SAQ, showed a significant improvement at 57 days in all five subscales for the 28 patients (n=28) tested. See Tables 2 and 3. In particular, the mean changes in scores for the five criteria evaluated by the SAQ ranged from 13 to 36 with a mean change of 8 or more considered "clinically significant." See Table 2.

Magnetic resonance imaging (MRI) showed objective improvements following administration of a single unit dose of the FGF-2,

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including increased targeted wall motion at 30 and 60 days ($p < 0.05$), and increased targeted wall thickening at 60 days ($p < 0.01$). MRI further showed improved regional wall motion, and increased myocardial perfusion and collateral development in the targeted area for both the lower dose (0.33 $\mu\text{g/kg}$ and 0.65 $\mu\text{g/kg}$) and higher dose (2.0 $\mu\text{g/kg}$ and 12.0 $\mu\text{g/kg}$) groups in an 11 patient test group ($n = 11$).

Abnormal perfusion zone, which was assessed at one of the sites on 28 patients, decreased significantly at 30 and 60 days ($p < 0.001$).

In addition to the above criterion (*i.e.*, ETT SAQ, MRI), a treatment is considered very successful if the angiogenic effects last at least six months. In the present Phase I study, the unexpectedly superior angiogenic effects were observed to last for 57 - 60 days in all dosage groups. [See Tables 2-4.] Based upon the results already obtained, it is expected that the angiogenic effects would last twelve months or more but at least six months, at which time the procedure could be repeated, if necessary.

EXAMPLE 4

“Proposed Phase II Clinical Study On Recombinant FGF-2 Administered to Humans to Treat Coronary Artery Disease”

The Phase II clinical trial of rFGF-2 of U.S. Pat. 5,155,214 for treating human patients for coronary artery disease is performed as a double blind/placebo controlled study having four arms: placebo, 0.3 $\mu\text{g/kg}$, 3 $\mu\text{g/kg}$ and 30 $\mu\text{g/kg}$ administered IC.

EXAMPLE 5

“Unit Dose and Pharmaceutical Composition of rFGF-2 for the Phase II Human Clinical Trial”

The rFGF-2 of U.S. Pat. 5,155,214 was formulated as a stock pharmaceutical composition for administration to humans in the Phase II clinical trial referenced herein. The various formulations are described below.

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The Medium Concentration rFGF-2 stock pharmaceutical composition for Examples 2-4 was prepared as a liquid in 5 cc type I glass vials with a laminated gray butyl rubber stopper and red flip-off overseal. The rFGF-2 composition
5 contained 0.3 mg/ml rFGF-2 of U.S. Pat. 5,155,214 in 10 mM sodium citrate, 10 mM monothioglycerol, 0.3 mM disodium dihydrate EDTA (molecular weight 372.2), 135 mM sodium chloride, pH 5.0. Each vial contained 3.7 ml of rFGF-2 drug product solution (1.11 mg rFGF-2 per vial). The resulting FGF-2 stock pharmaceutical composition in liquid form was stored at 2° to 8°C. Prior to use,
10 the above-described FGF-2 composition was diluted with the "rFGF-2 placebo."

The rFGF placebo is supplied as a clear colorless liquid in 5 cc type I glass vials with a laminated gray butyl rubber stopper and red flip-off overseal. The rFGF-2 placebo is indistinguishable in appearance from the drug product and has the
15 following formulation: 10 mM sodium citrate, 10 mM monothioglycerol, 0.3 mM disodium dihydrate EDTA (molecular weight 372.2), 135 mM sodium chloride, pH 5.0. Each vial contains 5.2 ml of rFGF-2 placebo solution. Like the unit dose, the rFGF-2 placebo is stored at 2° to 8°C.

20 The Medium Concentration rFGF-2 pharmaceutical composition that was ultimately infused IC, as described in Examples 2-4 herein, was prepared by diluting the rFGF-2 unit dose with the rFGF diluent such that the infusion volume is 10 ml. In order to keep the EDTA concentration below the limit of 100 µg/ml, the total infusion volume was increased to 40ml when
25 proportionately higher absolute amounts of FGF-2 were administered to subjects with high body weights.

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EXAMPLE 6**“Selection Criteria for CAD Patients for the Phase II Human Clinical Trial of IC rFGF-2”**

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Accordingly, the above described evidence of an unexpectedly superior improvement in quality of life and of increased angiogenic efficacy in humans who were administered a single unit dosage of rFGF-2 in accordance with this invention, supports the patentability of the Applicants' unit dose,
10 pharmaceutical composition and method of using the same.

EXAMPLE 7**“Inducing Angiogenesis *in vivo* by the Administration of Ultra-low Doses of rFGF-2 to the Myocardium of Miniswine”**

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Using a validated model of hibernating myocardium, miniswine underwent 90% left circumflex (LCx) coronary stenosis. Briefly, a hydraulically controlled occluder was placed around the proximal end of the LCx of
20 miniswine. A flow probe was inserted into the LCx distal to the hydraulic occluder and the occluder was inflated to consistently provide 90% occlusion. The animals were tested in groups of 6. One month later, baseline positron emission tomography (PET) and dobutamine stress echocardiography (DSE) were performed and the animals randomized to 30 injections of either 100 μ l
25 carrier (n=5) or rFGF-2 in carrier (45 ng/injection; total dose 1.35 μ g) (n=5) in the LCx region. In the above injections, the FGF-2 was the recombinant mature FGF-2 (SEQ ID NO: 2) of U.S. Pat. 5,155,214. The carrier was a sterile aqueous solution comprising 10 mM thioglycerol, 135 mM NaCl, 10 mM sodium citrate and 1 mM EDTA, pH 5. The total dose (1.35 μ g) of FGF-2
30 provided in this example is 1/100 the intracoronary (IC) delivered dose (135 μ g) that was found to be effective in the ameroid porcine model, wherein the LCx was occluded 100%.

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In the animals that received the injections of rFGF-2 in their myocardium, the LCx region myocardial blood flow (MBF) at rest, as measured by PET, increased from $61.3 \pm 4.4\%$ of non-ischemic septal values at baseline (day 0) to $82.8 \pm 3.1\%$ at 6 months postoperatively ($p < 0.001$). The wall motion score index (WMSI) at rest for the LCx region was 2.4 ± 0.2 at baseline and improved to 2.2 ± 0.2 ($p = 0.08$ vs baseline) at six months. Likewise, WMSI for the LCx region at peak stress was 2.2 ± 0.4 at baseline (day 0) and improved to 1.8 ± 0.3 ($p = 0.05$) at six months. (Figure 5) There was no significant change in MBF or rest or stress WMSI in the vehicle treated animals at any time point. Six months after treatment, the miniswine were sacrificed and the capillary density of the treated ischemic myocardium was determined. The FGF-2 treated miniswine exhibited a capillary density of about 4400/unit volume, versus about 1700 for the saline treated group. (Figure 6) Western blot analysis revealed significantly greater upregulation of VEGF (measured as VEGF₁₆₅) and FGF-2 in the chronically ischemic FGF-2 treated regions versus that observed with vehicle ($p < 0.05$). Figure 10. Surprisingly, the upregulation of VEGF and FGF-2 continued for at least 3 months after treatment. (Figure 10)

Thus, the direct intramyocardial injection of an ultra-low dose of angiogenic agent, such as rFGF-2, improves MBF, contractile reserve, perfusion (Figure 4), myocardial function as measured by DSE (Figure 5), and capillary density (Figure 6) in the treated regions of the myocardium. Accordingly, injecting an ultra-low dose of angiogenic agent IMc represents a viable method for inducing angiogenesis and a viable alternative therapy for the treatment of CAD and/or MI.

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EXAMPLE 8

“Inducing Angiogenesis *in vivo* by Administration of Various Doses of rFGF-2 to the Myocardium of Miniswine”

Using the same validated model of hibernating myocardium described in Example 7, miniswine underwent 90% left circumflex (LCx)

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coronary stenosis. Briefly, a hydraulically controlled occluder was placed around the proximal end of the LCx of miniswine. A flow probe was inserted into the LCx distal to the hydraulic occluder and the occluder was inflated to consistently provide 90% occlusion. Four groups of animals were tested in groups of 6. The groups were as follows:

- **IMc mid dose:** 6 animals @ 0.6 µg/kg total dose IMc
 - 30 injections in LCx territory, no heparin IMc
- **IMc high dose:** 6 animals @ 6.0 µg/kg total dose IMc
 - 30 injections in LCx territory, no heparin IMc
- **Positive control:** 6 animals @ 6.0 µg/kg I.C. in the ameroid model (100% occlusion of the LCx) total dose 135 µg, delivered as
 - heparin 70U/kg 5 min before start of infusion
 - ½ dose RCA if possible, ½ dose LCx or LAD (3 µg/kg/artery), each delivered by infusion over 10 minutes per artery (20 minutes total infusion time)
- **Negative control:** 6 animals – vehicle/saline x 30 injections IMc.

The miniswine were randomly assigned to treatment groups at time of surgery.

20 PHASE 1

Establishment of baseline and initiation of treatment

- Established a baseline for a hibernating myocardium as described, with perfusion determined by PET and cardiac function by DSE immediately.
- **PRE-TREATMENT (under anesthesia):**
 - Recorded baseline heart rate (HR)/blood pressure (BP)
 - Collected blood for:
 - serum chemistries, CBC, cardiac enzymes, such as CPK MB, cardiac troponin I ("TNI") or cardiac troponin T ("TNT"), associated with damaged cardiac myocytes
 - spun plasma for rFGF-2 assay pre-treatment (freeze at -70°C)
 - EKG (3 leads, with rhythm strip)
- **DURING TREATMENT:**
 - Recorded HR and BP data; treat hypotension with fluids
 - Recorded rhythm changes per monitor
 - Treated the four groups with FGF-2 (mid and high dose), a negative control, and a positive control as described above.
- **POST-TREATMENT:**
 - Recorded HR/BP until back to baseline

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- Collected second set of serum chemistries, CBC, cardiac enzymes and spun plasma for rFGF-2 assay at latest possible point post-treatment (2 hrs minimum) USE SAME TIME post-treatment for blood collection in all animals. See above for handling.
- 5 • EKG (3 leads, with rhythm strip)

PHASE 2**Follow-up @ 3 months post-treatment**

- 10 • Under anesthesia:
 - Recorded HR and BP
 - Collected blood for serum chemistries, CBC, cardiac enzymes and spun plasma for rFGF-2 assay. See above for handling
 - Performed EKG (3 leads, with rhythm strip)
- 15 • Determined perfusion by PET and myocardial function at stress by DSE. Treatment group blinded to 2 readers

PHASE 3**20 Histology and Final report**

- Post-sacrifice: The minipigs were sacrificed 3 months after treatment with FGF-2 or control.
- 25 • Gross pathology of hearts: Recorded evidence of injection site or other cardiac pathology (infarcts, scar, injection site changes, pericardial changes)
- Tissues: Septum, Anterior wall, LCx territory
 - Stained with hematoxylin and eosin (H&E) for architecture
 - Stained with trichrome for fibrosis
 - 30 • Stained for alkaline phosphatase to identify endothelial tissue
 - Performed a blinded assessment of overall vascular density in mid-myocardium cross-section
 - Searched for local pathology at injection sites (fibrosis, vascularity, myocyte loss, infarction, etc.)

35

The normalized perfusion ratio of the treated ischemic myocardium was determined by PET three months after treatment with positive or negative controls (as described above) and after treatment IMc with the “mid” (0.6 µg/kg (13.5 µg)) or “high” 6.0 µg/kg (135 µg)) doses of rFGF-2 (SEQ ID NO: 2).

- 40 This data is shown as the bar graph of Figure 7, which also combines the normalized perfusion data from the “low” (0.06 µg/kg (1.35 µg)) dose of FGF-2

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as determined in Example 7. Figure 7 shows that the greatest % change in normalized perfusion (*i.e.*, a 27.5% increase) surprisingly occurred for the “mid” dose, with the “low” and “high” doses showing lower changes of 17.5% and 17%, respectively. The data in Figure 7 is the result of two separate experiments (light bars and dark bars) with the light colored placebo designated as “uld” (ultra-low dose) being the placebo for the “low” dose, also shown as a light colored bar.

The % change in normalized perfusion for ischemic myocardium treated with the mid and high dose groups at 1 and 3 months after treatment is compared to positive (IC) and negative (placebo) controls in the bar graph of Figure 8. The “high” dose showed a higher increase in normalized perfusion than was achieved for the “mid” dose at 1 month post-treatment. However, the % increase in normalized perfusion unexpectedly occurred for the “mid” dose of rFGF-2 IMc at 3 months post treatment. This unexpectedly superior result is corroborated by the unexpectedly greater vascular density that was observed for the “mid” dose treated group than for the “high” dose treated group. (Figure 9) Moreover, both showings of unexpectedly superior results for the mid dose treated group are consistent with the unexpectedly superior upregulation of intracellular FGF-2 in the treated ischemic myocardium that is observed three months after treatment in the “mid” dose (about 290 pg/ml) relative to that observed in the “high” dose group (about 170 pg/ml) or in the positive IC control (about 175 pg/ml).

Thus, while all dosages of FGF-2 that are administered IMc in accordance with the method of the present invention increase perfusion and cardiac function, there appears to be an unexpectedly superior (mid) dosage of FGF-2 that occurs from about 0.3 $\mu\text{g/kg}$ (or 6.75 μg or 6,750 ng) to about 3.0 $\mu\text{g/kg}$ (or 67.5 μg or 67,500 ng).

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CLAIMS

What is claimed is:

1. A pharmaceutical composition comprising an effective amount of an angiogenic agent in a pharmaceutically acceptable carrier, said effective amount of angiogenic agent being in the range from about 5 ng to less than about 135,000 ng of said angiogenic agent.
2. The pharmaceutical composition of claim 1 in lyophilized form.
3. The pharmaceutical composition of claim 1 or 2, wherein said angiogenic agent is platelet derived growth factor (PDGF), vascular endothelial growth factor-A (VEGF-A), VEGF-D, fibroblast growth factor (FGF) or an angiogenically active fragment or mutein thereof.
4. The pharmaceutical composition of claim 3, wherein said angiogenic agent is VEGF-A, VEGF-D, FGF, or an angiogenically active fragment or mutein thereof.
5. The pharmaceutical composition of claim 4, wherein said VEGF-A is human VEGF-A₁₂₁, VEGF-A₁₆₅, VEGF-A₁₈₉, or VEGF-A₂₀₆.
6. The pharmaceutical composition of claim 4, wherein said angiogenic agent is an FGF or an angiogenically active fragment or mutein thereof.
7. The pharmaceutical composition of claim 6, wherein said FGF is an FGF-2 or an angiogenically active fragment or mutein thereof.
8. The pharmaceutical composition of claim 7, wherein said FGF is FGF-2 of SEQ ID NO: 2, 5 or 6.

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9. The pharmaceutical composition of claim 2, further comprising an amount of a chelating agent effective to inhibit oxidation of said angiogenic agent.

10. The pharmaceutical composition of claim 9, further comprising an amount of a chelating agent effective to inhibit oxidation of said FGF-2 or said angiogenically active fragment or mutein thereof.

11. The pharmaceutical composition of claim 8, wherein said effective amount of FGF-2 is in the range from 5 ng to 67,500 ng of said angiogenic agent.

12. A method for increasing vascular perfusion in the myocardium comprising injecting an area of the myocardium in need of an increase in perfusion with an effective amount of an angiogenic agent, said effective amount being within the range of about 5 ng to less than 135,000 ng of an angiogenic agent.

13. The method of claim 12, wherein said effective amount of angiogenic agent is 5 ng to 67,500 ng of PDGF, VEGF-A, VEGF-D, FGF, or an angiogenically active fragment or mutein thereof .

14. The method of claim 13, wherein said angiogenic agent is VEGF-A, VEGF-D, FGF, or an angiogenically active fragment or mutein thereof.

15. The method of claim 14, wherein said VEGF-A is human VEGF-A₁₂₁, VEGF-A₁₆₅, VEGF-A₁₈₉, or VEGF-A₂₀₆.

16. The method of claim 14, wherein said angiogenic agent is an FGF or an angiogenically active fragment or mutein thereof.

25

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17 The method of claim 16, wherein said FGF is FGF-2 or an angiogenically active fragment or mutein thereof.

18. The method of claim 17, wherein said FGF-2 has the amino acid sequence of SEQ ID NO: 2, 5 or 6.

5 19. A method for increasing vascular density in the myocardium comprising injecting an area of the myocardium in need of an increase in perfusion with an effective amount of an angiogenic agent, said effective amount being within the range of about 5 ng to less than 135,000 ng of an angiogenic agent.

10 20. The method of claim 19, wherein said effective amount of angiogenic agent is 5 ng to 67,500 ng of PDGF, VEGF-A, VEGF-D, FGF, or an angiogenically active fragment or mutein thereof.

15 21. A method for inducing angiogenesis in a heart of a patient, comprising injecting an effective amount of an angiogenic agent directly into the myocardium of said patient in one or more areas in need of angiogenesis, said effective amount of angiogenic agent being from about 5 ng to less than 135,000 ng of said angiogenic agent.

22. The method of claim 21, wherein said effective amount of angiogenic agent is from 5 ng to 67,500 ng of said angiogenic agent.

20 23. The method of claim 22, wherein said patient is a human patient.

24. The method of claim 22, wherein said human patient has symptoms of coronary artery disease (CAD) or a myocardial infarction (MI).

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25. The method of claim 23, wherein said angiogenic agent is PDGF, VEGF-A, VEGF-D, FGF, or an angiogenically active fragment or mutein thereof.

26. The method claim 25, wherein said angiogenic agent is
5 VEGF-A, VEGF-D, FGF, or an angiogenically active fragment or mutein thereof.

27. The method of claim 26, wherein said VEGF-A is human VEGF-A₁₂₁, VEGF-A₁₆₅, VEGF-A₁₈₉, or VEGF-A₂₀₆.

28. The method of claim 26, wherein said angiogenic agent is
10 an FGF or an angiogenically active fragment or mutein thereof.

29. The method of claim 28, wherein said FGF is an FGF-2 or an angiogenically active fragment or mutein thereof.

30. The method of claim 29, wherein said FGF-2 has the amino acid sequence of SEQ ID NO: 2, 5 or 6.

31. A method for stimulating the production of FGF-2 and VEGF in human myocardial cells for up to three months, comprising injecting an effective amount of an angiogenic agent directly into the myocardium of said patient in one or more areas in need of angiogenesis, said effective amount of angiogenic agent being from about 5 ng to less than 135,000 ng of said
15 angiogenic agent.
20

32. The method of claim 31, wherein said effective amount of angiogenic agent is 6.75 µg to 67.5 µg of said angiogenic agent.

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33. A method for treating a human patient for coronary artery disease, comprising injecting an effective amount of an angiogenic agent directly into the myocardium in one or more areas in need of treatment for said disease, said effective amount of angiogenic agent being from about 5 ng to less than
5 135,000 ng of said angiogenic agent.

34. The method of claim 33, wherein said effective amount of angiogenic agent is from 5 ng to 67,500 ng of said angiogenic agent.

35. The method of claim 34, wherein said angiogenic agent is PDGF, VEGF-A, VEGF-D FGF, or is an angiogenically active fragment or
10 mutein thereof.

36. The method claim 36, wherein said angiogenic agent is VEGF-A, VEGF-D FGF, or is an angiogenically active fragment or mutein thereof.

37. The method of claim 37, wherein said VEGF-A is human
15 VEGF-A₁₂₁, VEGF-A₁₆₅, VEGF-A₁₈₉, or VEGF-A₂₀₆.

38. The method of claim 37, wherein said angiogenic agent is an FGF or an angiogenically active fragment or mutein thereof.

39. The method of claim 38, wherein said FGF is FGF-2 or an angiogenically active fragment or mutein thereof.

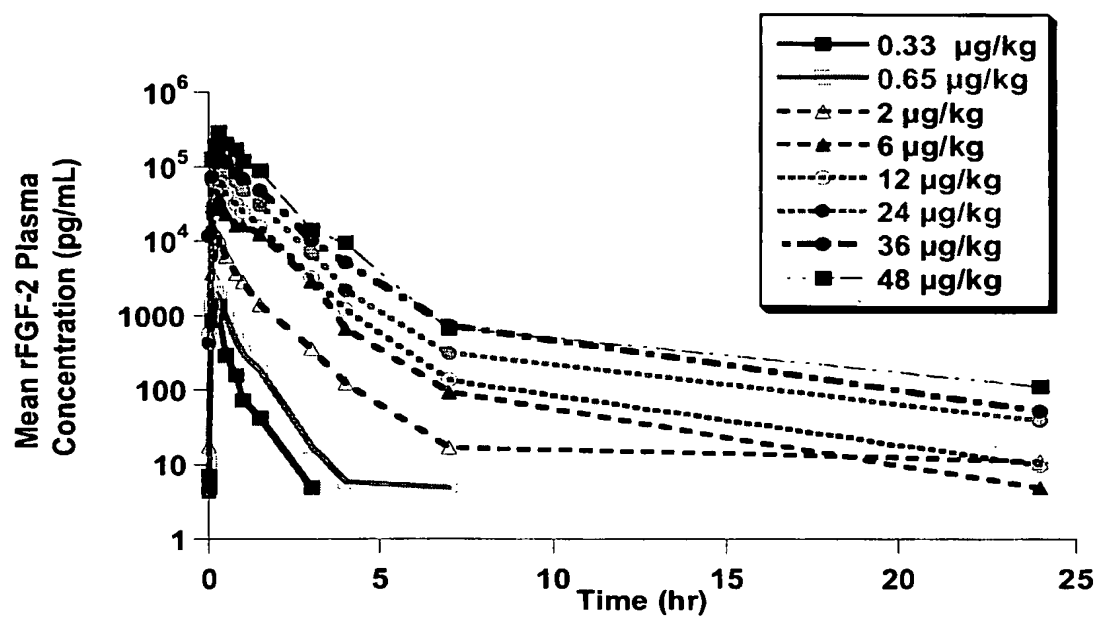
20 40. The method of claim 39, wherein said FGF is FGF-2.

41. The method of claim 40, wherein said FGF-2 has an amino acid sequence of SEQ ID NO: 2, 5 or 6.

SUBSTITUTE SHEET (RULE 26)

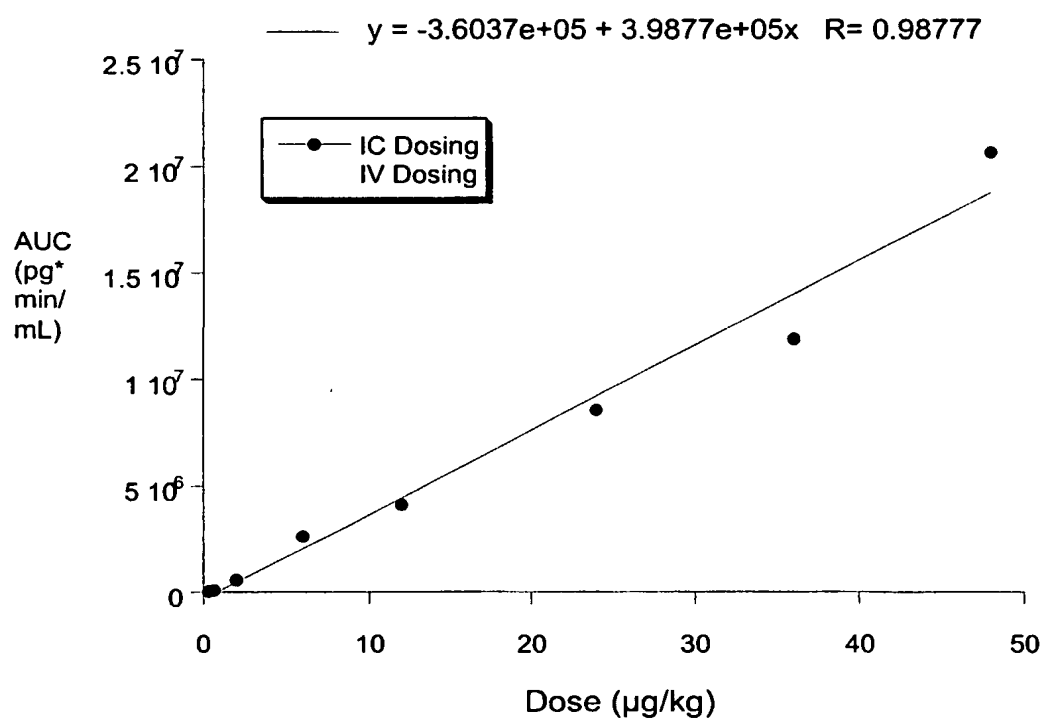
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FIG. 1



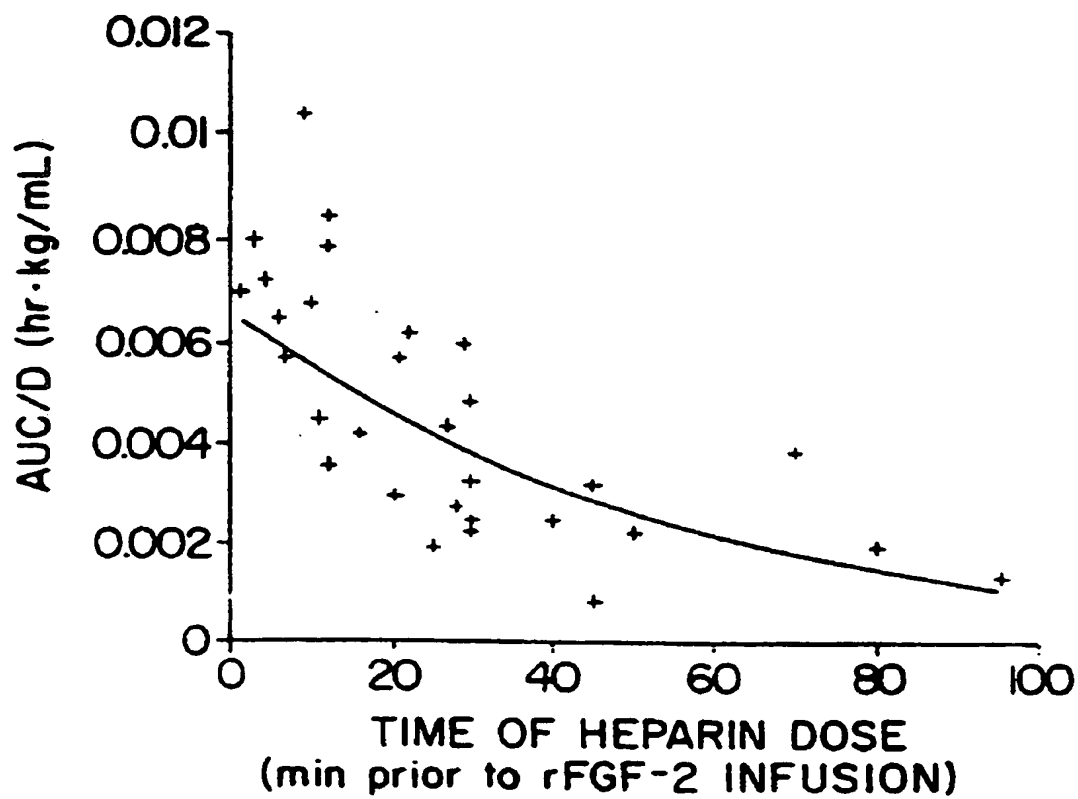
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FIG. 2



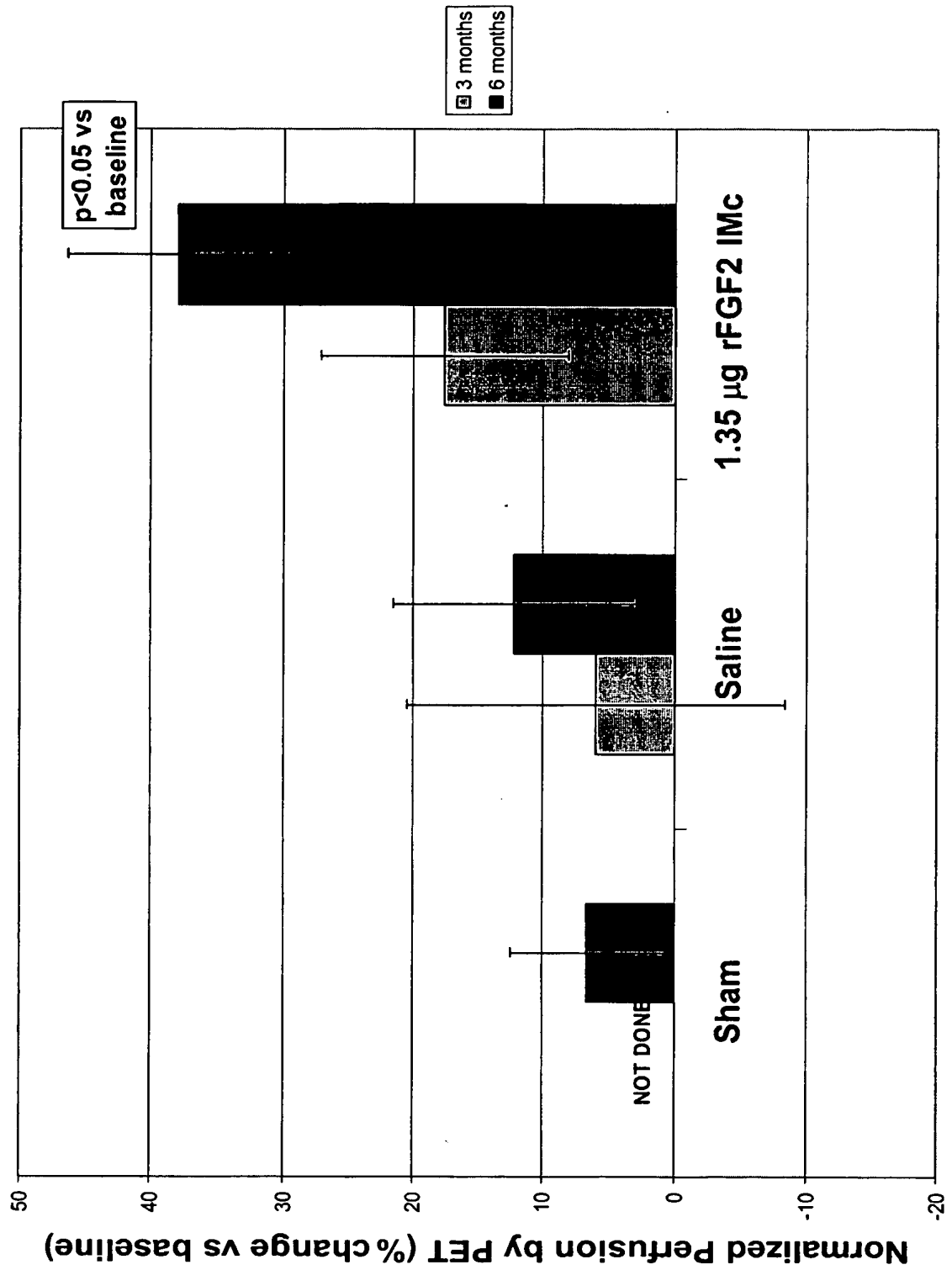
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FIG. 3

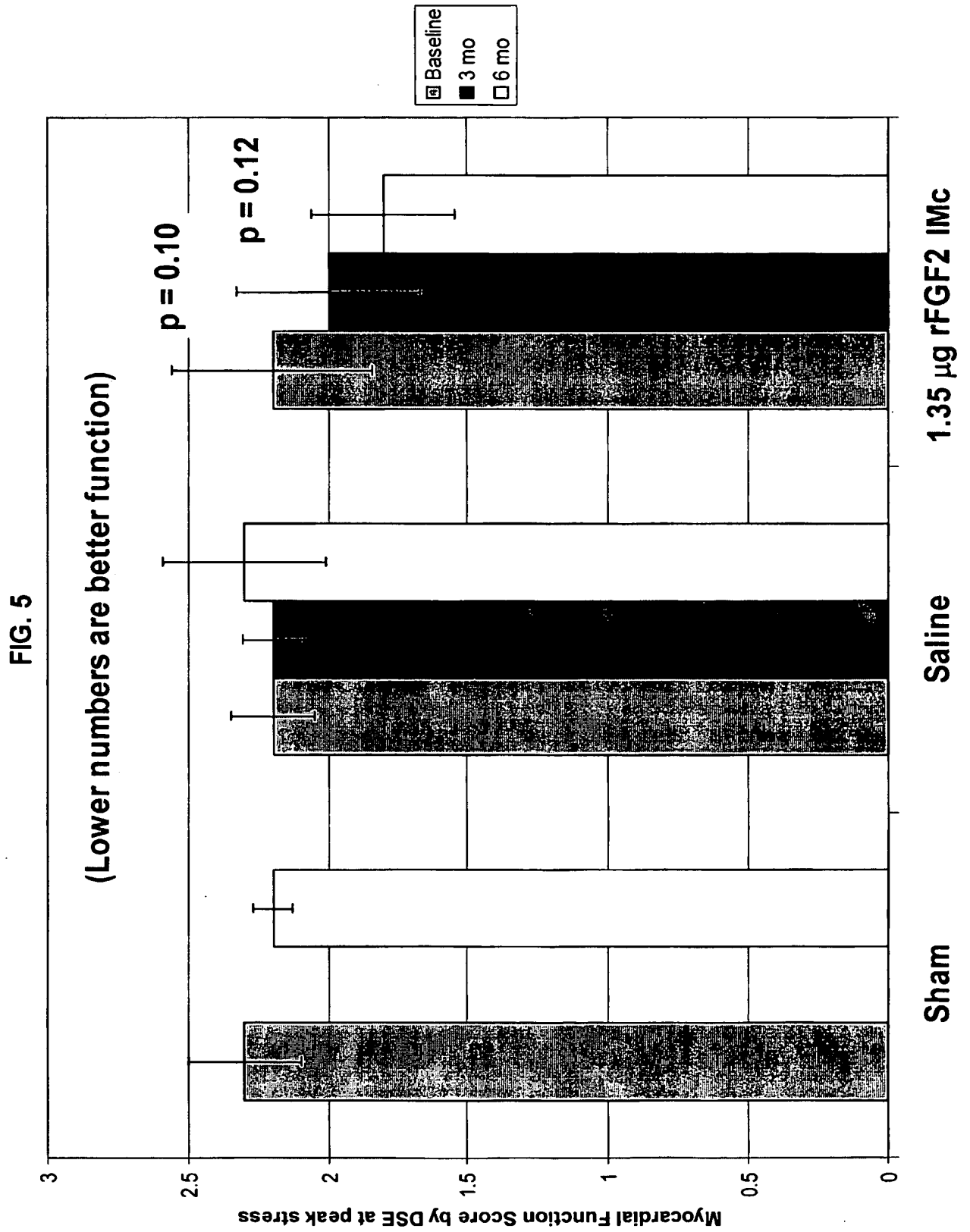


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FIG. 4

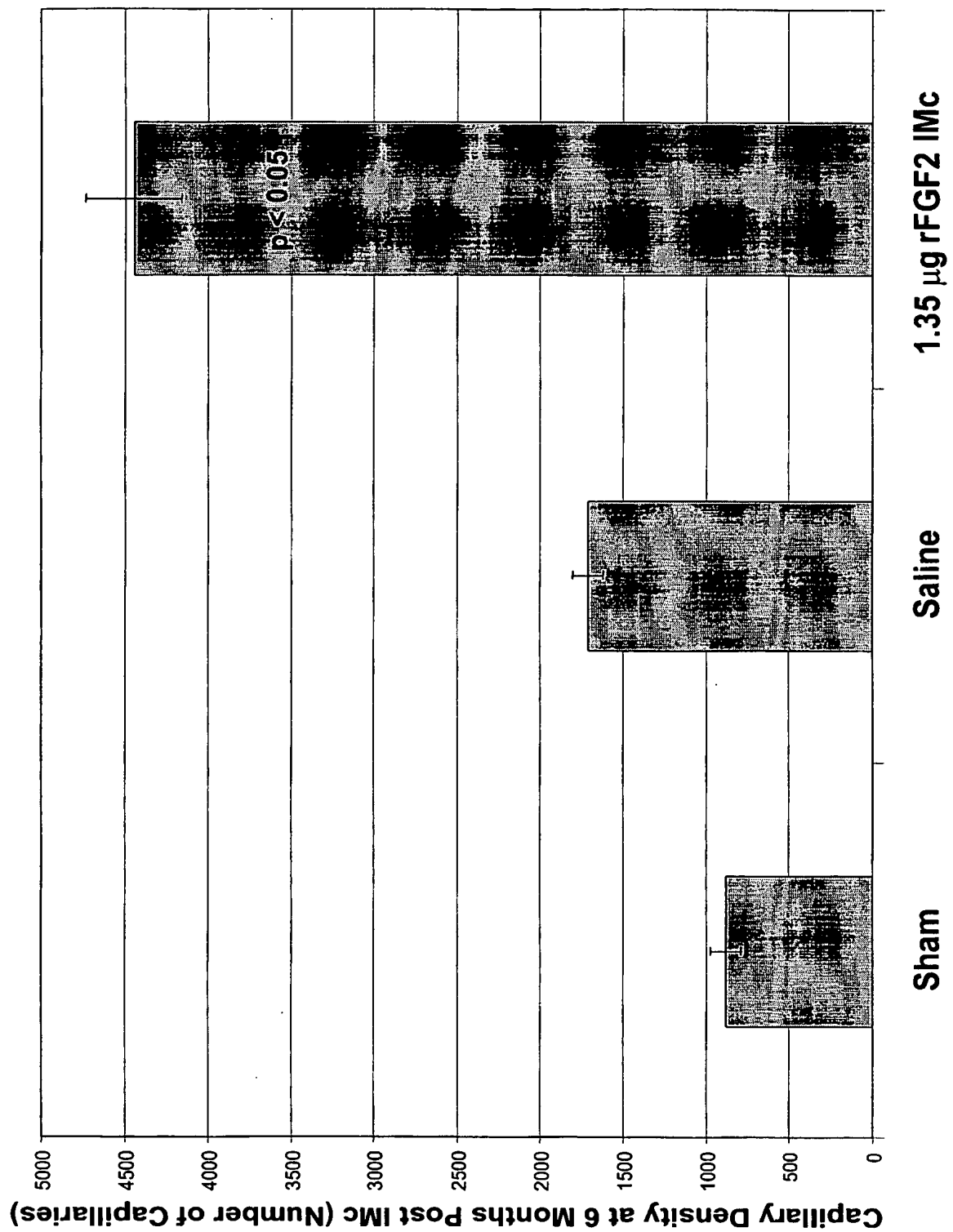


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6/11

FIG. 6



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FIG. 7

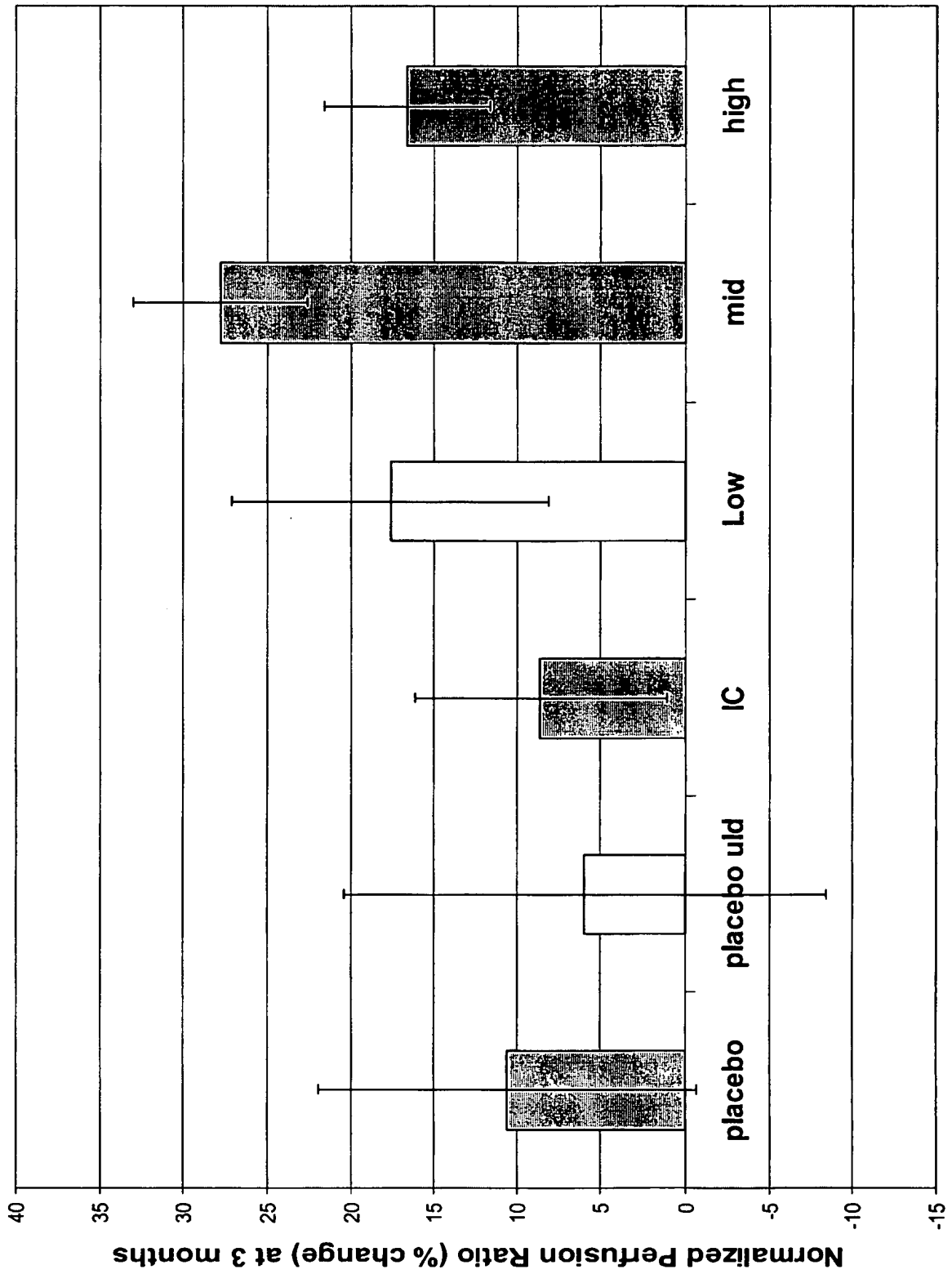
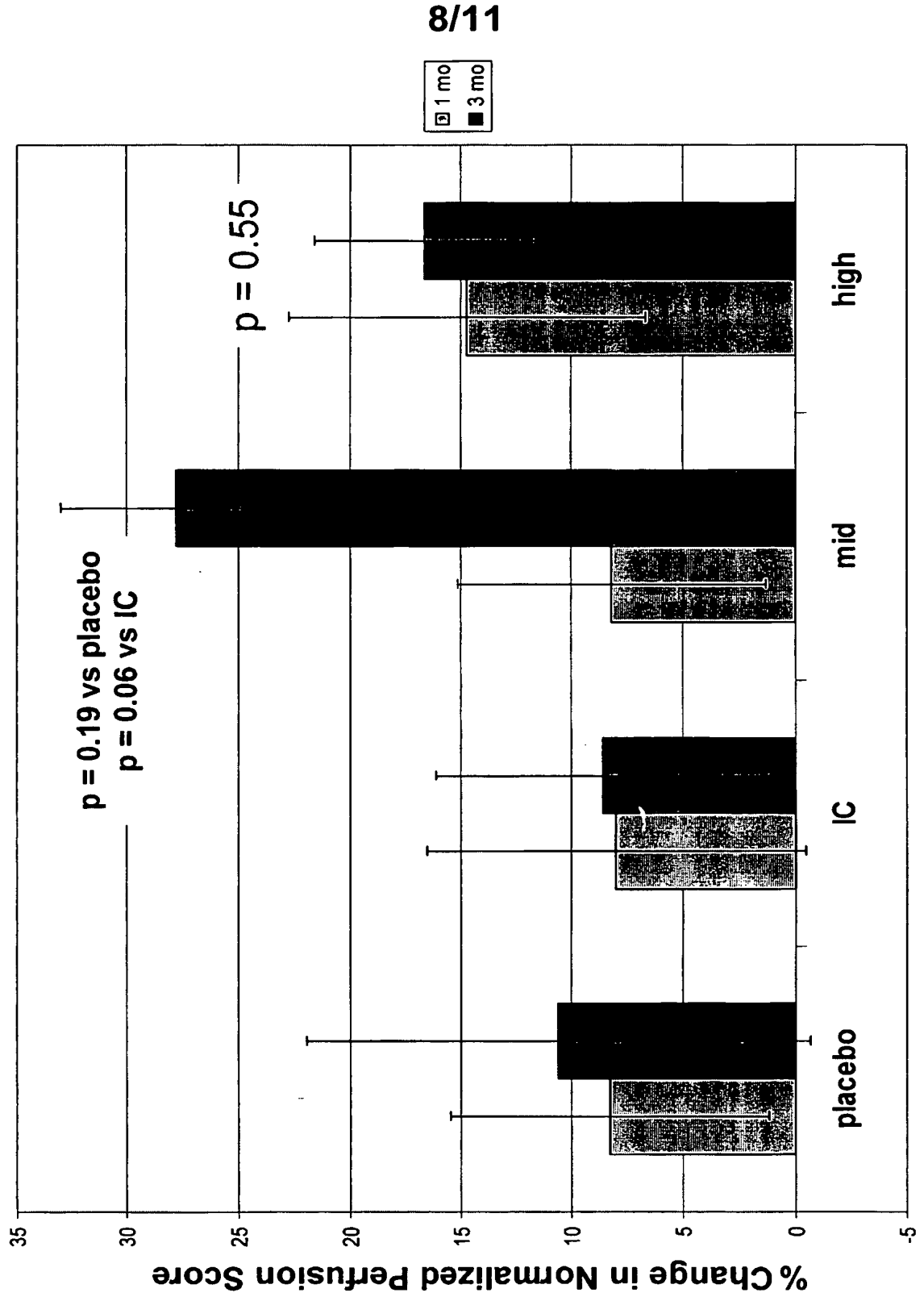


FIG. 8



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FIG. 9

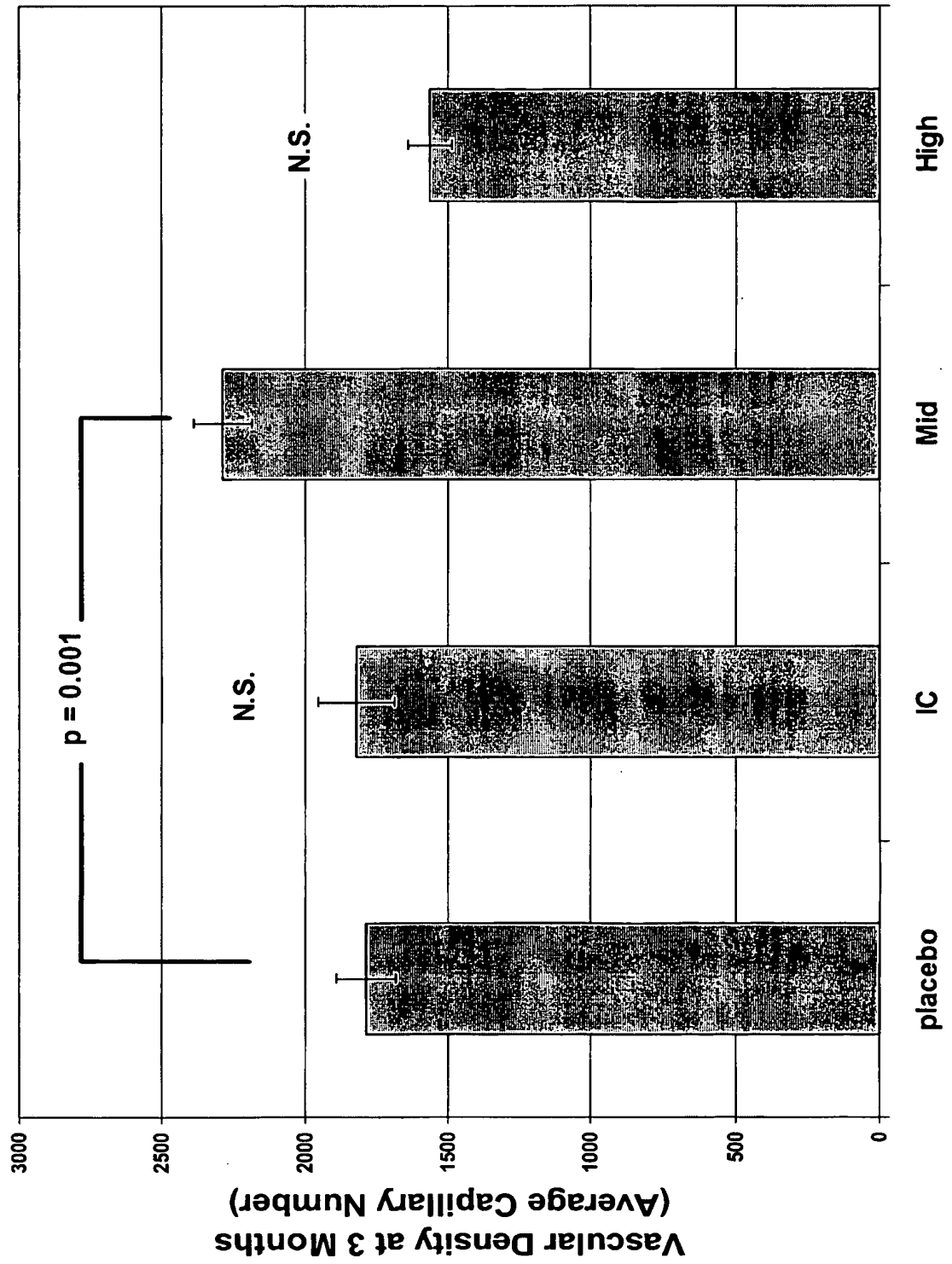
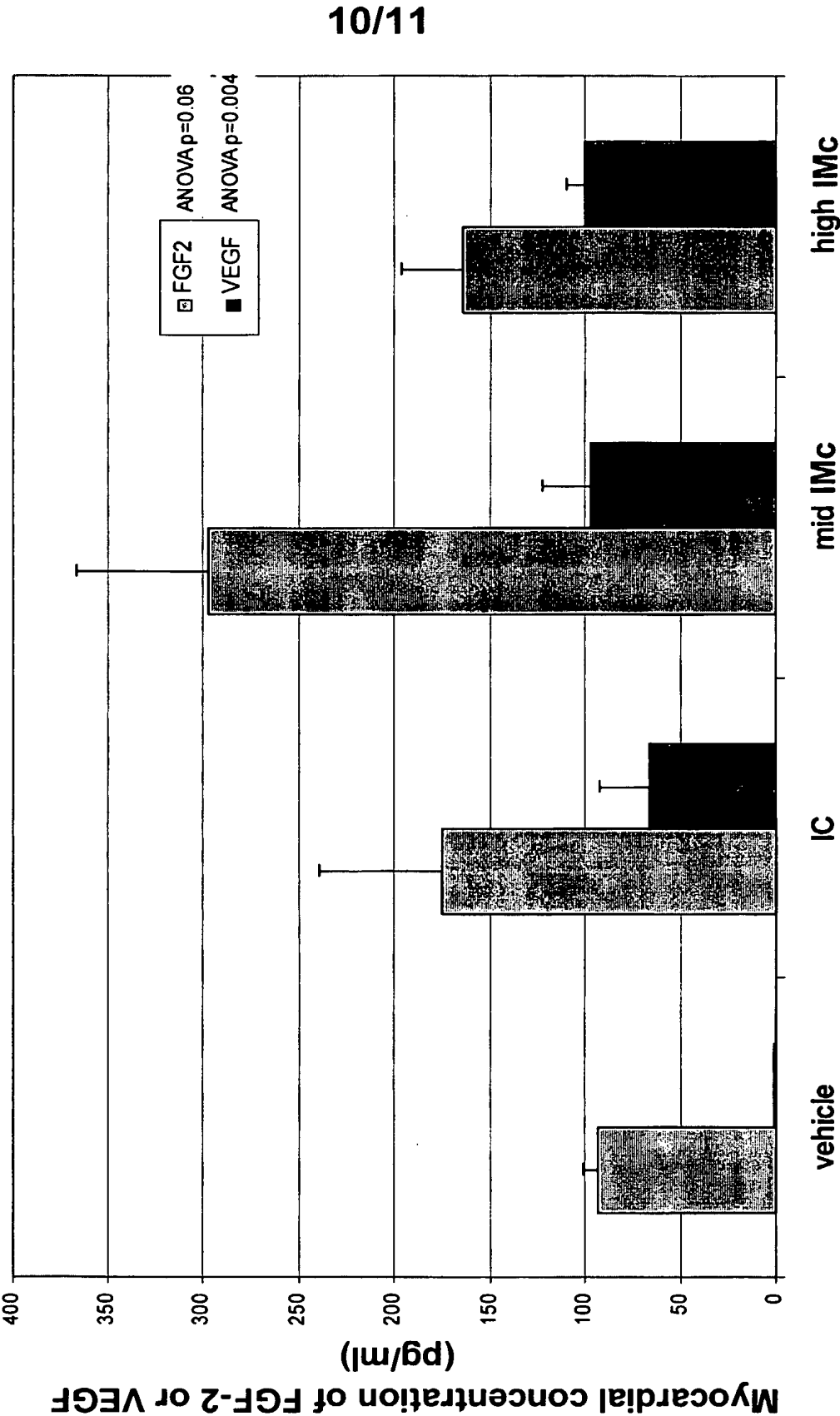
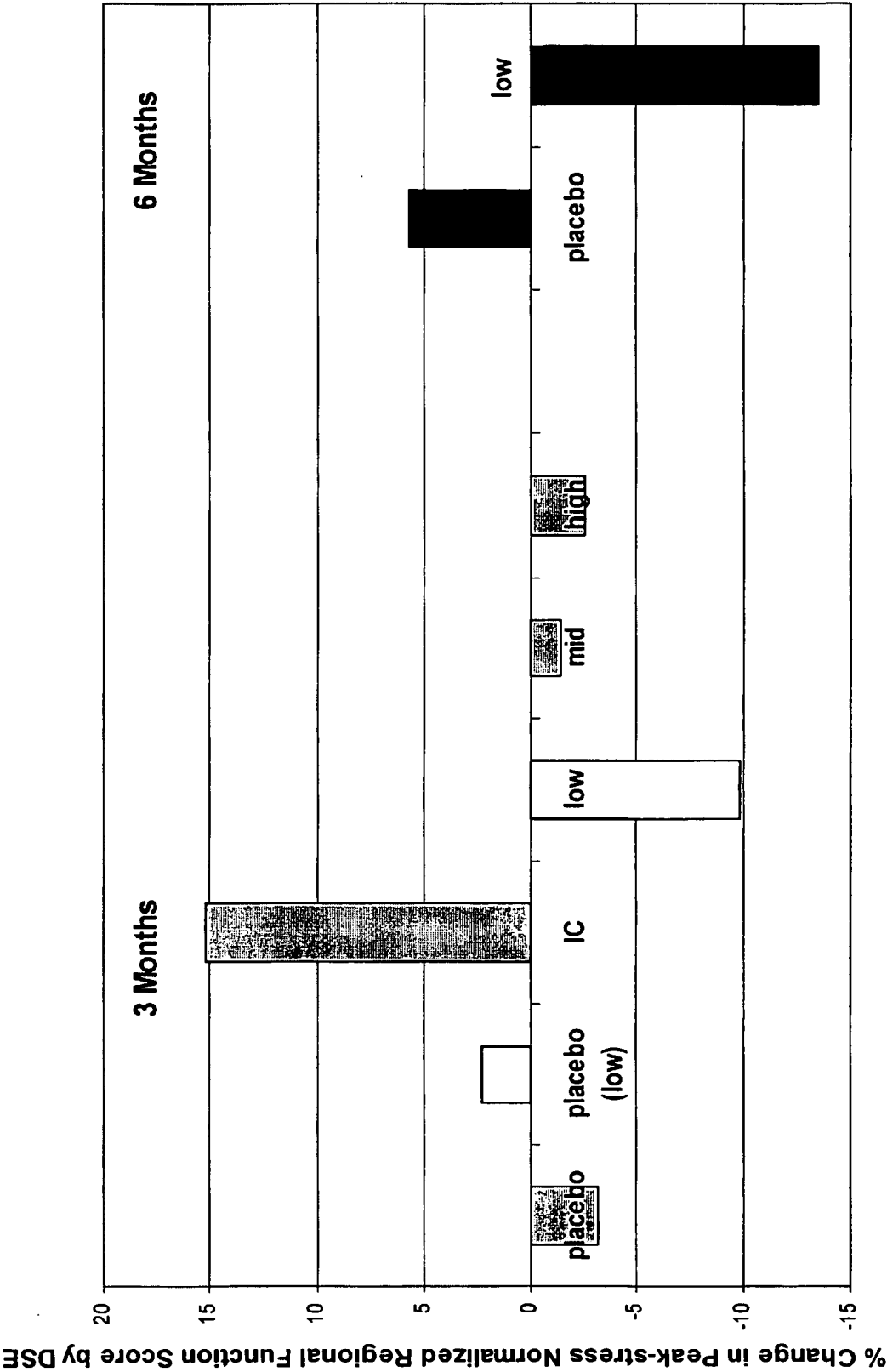


FIG. 10



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FIG. 11



- 1 -

SEQUENCE LISTING

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(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
22 February 2001 (22.02.2001)

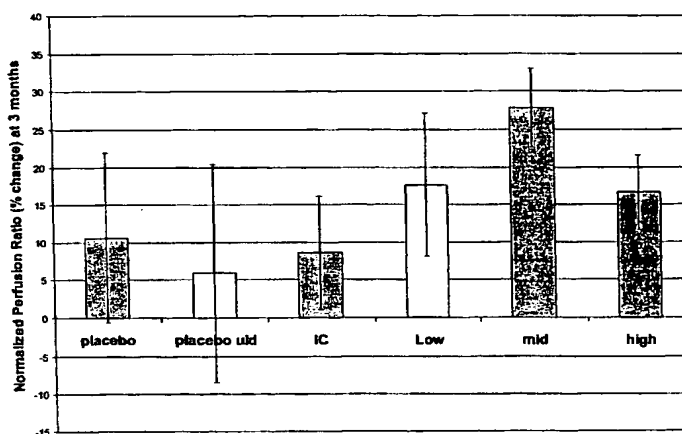
PCT

(10) International Publication Number
WO 01/13031 A3

- (51) International Patent Classification⁷: **A61K 38/18**, A61P 9/10 (74) Agent: **ALEXANDER, Lisa, E.**; Chiron Corporation, 4560 Horton Street, Emeryville, CA 94608-2916 (US).
- (21) International Application Number: PCT/US00/22039 (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (22) International Filing Date: 11 August 2000 (11.08.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 60/148,746 13 August 1999 (13.08.1999) US (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- (71) Applicant: **CHIRON CORPORATION** [US/US]; 4650 Horton Street, Emeryville, CA 94608 (US).
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- Published:
— with international search report
- (88) Date of publication of the international search report:
30 August 2001

[Continued on next page]

(54) Title: DOSE OF AN ANGIOGENIC FACTOR AND METHOD OF ADMINISTERING TO IMPROVE MYOCARDIAL BLOOD FLOW



(57) Abstract: The present invention is directed to a unit dose pharmaceutical composition comprising from about 5 ng/dose to less than 135,000 ng of an angiogenic agent, typically from 5 ng to 67,500 ng. Preferably, the angiogenic agent is FGF, more preferably it is basic FGF (FGF-2). In a second aspect, the present invention is directed to a method for inducing angiogenesis, or increasing myocardial perfusion or vascular density in a patient's heart, comprising administering directly into the myocardium in an area in need, as a single injection or a series of injections, a unit dose of an angiogenic agent. In another aspect, the present invention is directed to a method for treating a patient for coronary artery disease, or myocardial infarction, comprising administering directly into the myocardium in an area in need of angiogenesis in said patient, a unit dose (i.e., from about 5 ng to less than 135,000 ng) of an angiogenic agent.

WO 01/13031 A3



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 00/22039

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 A61K38/18 A61P9/10

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

BIOSIS, EPO-Internal, WPI Data, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|--|--|
| X | SCHUMACHER B ET AL: "The stimulation of neoangiogenesis in the ischemic human heart by the growth factor FGF: First clinical results." JOURNAL OF CARDIOVASCULAR SURGERY, vol. 39, no. 6, December 1998 (1998-12), pages 783-789, XP000982114 ISSN: 0021-9509 abstract page 784, right-hand column, line 37 --- -/-- | 1-4, 6-14, 16-26, 28-36, 38-41 |

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

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Date of the actual completion of the international search

13 February 2001

Date of mailing of the international search report

22/02/2001

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NL - 2280 HV Rijswijk
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Fax: (+31-70) 340-3016

Authorized officer

Covone, M

INTERNATIONAL SEARCH REPORT

Interr... I Application No
PCT/US 00/22039

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
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FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 5,15,27,37 (all completely) 1-4,9,12-14,19-26,31-36 (all partially)

Present claims 1-5,9,12-15,19-27,31-37 relate to compositions comprising compounds which have only been defined by their activity, namely their angiogenicity, and methods to increase vascular perfusion and/or density in the myocardium, to induce angiogenesis in a heart, to stimulate the production of FGF-2 and VEGF and to treat coronary artery disease using these compositions. The parameter "angiogenic agent" lack any technical or structural features which would allow to search for.

Thus, the claims cover all compounds having this characteristic or property, whereas the application provides support within the meaning of Article 6 PCT and/or disclosure within the meaning of Article 5 PCT for only a very limited number of such compounds. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Independent of the above reasoning, the claims also lack clarity (Article 6 PCT) by defining the compounds merely by their activity. Again, this lack of clarity in the present case is such as to render a meaningful search over the whole of the claimed scope impossible. Consequently, the search has been carried out for those parts of the claims which appear to be clear, supported and disclosed, namely those parts relating to the compositions disclosed in the examples and in the claims 6-8 and related methods.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

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